Estimates for lower bounds of eigenvalues of the poly-Laplacian and quadratic polynomial operator of the Laplacian

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ABSTRACT. In this paper, we investigate the Dirchlet eigenvalue problems of poly-Laplacian with any order and quadratic polynomial operator of the Laplacian. We give some estimates for lower bounds of the sums of their first k eigenvalues which improve the previous results.

1 Introduction

Let Ω be a bounded domain in an *n*-dimensional Euclidean space \mathbb{R}^n , where $n \geq 2$. The Dirichlet eigenvalue problem of the poly-Laplacian is described by

$$\begin{cases} (-\Delta)^l u = \lambda u, & \text{on } \Omega, \\ u|_{\partial\Omega} = \frac{\partial u}{\partial\nu}|_{\partial\Omega} = \dots = \frac{\partial^{l-1} u}{\partial\nu^{l-1}}|_{\partial\Omega} = 0, \end{cases}$$
 (1.1)

where Δ is the Laplacian and ν denotes the outward unit normal vector field of $\partial\Omega$. As we known, this problem has a real and discrete spectrum: $0 < \lambda_1 \le \lambda_2 \le \cdots \le \lambda_k \le \cdots \to \infty$, where each eigenvalue repeats with its multiplicity.

When l=1, problem (1.1) is called the Dirichlet Laplacian problem or the fixed membrane problem. The asymptotic behavior of its k-th eigenvalue λ_k relates to geometric properties of Ω when $k \to \infty$. In fact, the following Weyl's asymptotic formula holds

$$\lambda_k \sim \frac{(2\pi)^2}{(\omega_n V(\Omega))^{\frac{2}{n}}} k^{\frac{2}{n}}, \quad \text{as } k \to \infty,$$
 (1.2)

where ω_n denotes the volume of the unit ball in \mathbb{R}^n and $V(\Omega)$ denotes the volume of Ω . In 1961, Pólya [13] proved that

$$\lambda_k \ge \frac{(2\pi)^2}{(\omega_n V(\Omega))^{\frac{2}{n}}} k^{\frac{2}{n}} \tag{1.3}$$

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holds on tiling domains in \mathbb{R}^2 . His proof also works on tiling domains in \mathbb{R}^n . Moreover, he conjectured that (1.3) holds for any bounded domain in \mathbb{R}^n . Berezin [3] and Lieb [10] made some contributions to the partial solution of this conjecture. In 1983, Li and Yau [9] proved the following so-called Li-Yau inequality

$$\frac{1}{k} \sum_{j=1}^{k} \lambda_j \ge \frac{n}{n+2} \frac{(2\pi)^2}{(\omega_n V(\Omega))^{\frac{2}{n}}} k^{\frac{2}{n}}.$$
 (1.4)

In 2000, Laptev and Weidl [7] pointed out that (1.4) can be derived by the Legendre transform of a result derived by Berezin [3]. Hence, (1.4) is also called the Berezin-Li-Yau inequality. In 2003, adding an additional positive term to the right-hand side of (1.4), Melas [11] improved (1.4) to

$$\frac{1}{k} \sum_{j=1}^{k} \lambda_j \ge \frac{n}{n+2} \frac{(2\pi)^2}{(\omega_n V(\Omega))^{\frac{2}{n}}} k^{\frac{2}{n}} + \frac{1}{24(n+2)} \frac{V(\Omega)}{I(\Omega)},\tag{1.5}$$

where $I(\Omega) = \min_{a \in \mathbb{R}^n} \int_{\Omega} |x - a|^2 dx$ is the moment of inertia of Ω . Recently, Ilyin [6] obtained the following asymptotic lower bound for eigenvalues of problem (1.1):

$$\frac{1}{k} \sum_{j=1}^{k} \lambda_j \ge \frac{n}{n+2} \frac{(2\pi)^2}{(\omega_n V(\Omega))^{\frac{2}{n}}} k^{\frac{2}{n}} + \frac{n}{48} \frac{V(\Omega)}{I(\Omega)} \left(1 - \varepsilon_n(k)\right),\tag{1.6}$$

where $0 \le \varepsilon_n(k) = O(k^{-\frac{2}{n}})$ is a infinitesimal of $k^{-\frac{2}{n}}$. Moreover, he derived some explicit inequalities for the particular cases of n = 2, 3, 4:

$$\frac{1}{k} \sum_{j=1}^{k} \lambda_{j} \ge \frac{n}{n+2} \frac{(2\pi)^{2}}{(\omega_{n} V(\Omega))^{\frac{2}{n}}} k^{\frac{2}{n}} + \frac{n}{48} \beta_{n} \frac{V(\Omega)}{I(\Omega)}, \tag{1.7}$$

where $\beta_2 = \frac{119}{120}$, $\beta_3 = 0.986$ and $\beta_4 = 0.983$.

When l = 2, problem (1.1) is called the clamped plate problem. Agmon [1] and Pleijel [12] obtained

$$\lambda_k \sim \frac{(2\pi)^4}{(\omega_n V(\Omega))^{\frac{4}{n}}} k^{\frac{4}{n}}, \quad \text{as } k \to +\infty.$$
 (1.8)

In 1985, Levine and Protter [8] proved:

$$\frac{1}{k} \sum_{i=1}^{k} \lambda_{i} \ge \frac{n}{n+4} \frac{(2\pi)^{4}}{(\omega_{n} V(\Omega))^{\frac{4}{n}}} k^{\frac{4}{n}}.$$
(1.9)

For the special case of n=2, Ilyin [6] proved

$$\frac{1}{k} \sum_{j=1}^{k} \lambda_j \ge \frac{16\pi^2}{3(V(\Omega))^2} k^2 + \frac{12095\pi}{3 \cdot 12096I(\Omega)} k. \tag{1.10}$$

In 2011, Cheng and Wei [5] strengthened (1.9) to

$$\frac{1}{k} \sum_{j=1}^{k} \lambda_{j} \geq \frac{n}{n+4} \frac{(2\pi)^{4}}{(\omega_{n}V(\Omega))^{\frac{4}{n}}} k^{\frac{4}{n}} + \frac{n}{n+2} \left[\frac{n+2}{12n(n+4)} - \frac{1}{1152n^{2}(n+4)} \right] \frac{(2\pi)^{2}}{(\omega_{n}V(\Omega))^{\frac{2}{n}}} \frac{V(\Omega)}{I(\Omega)} k^{\frac{2}{n}} + \left[\frac{1}{576n(n+4)} - \frac{1}{27648n^{2}(n+2)(n+4)} \right] \left(\frac{V(\Omega)}{I(\Omega)} \right)^{2}.$$
(1.11)

When $l \geq 3$, Levine and Protter [8] proved

$$\frac{1}{k} \sum_{j=1}^{k} \lambda_j \ge \frac{n}{n+2l} \frac{(2\pi)^{2l}}{(\omega_n V(\Omega))^{\frac{2l}{n}}} k^{\frac{2l}{n}}.$$
 (1.12)

Recently, adding l terms of lower order of $k^{\frac{2l}{n}}$ to its right-hand side of (1.12), Cheng, Qi and Wei [4] derived

$$\frac{1}{k} \sum_{j=1}^{k} \lambda_{j} \geq \frac{n}{n+2l} \frac{(2\pi)^{2l}}{(\omega_{n}V(\Omega))^{\frac{2l}{n}}} k^{\frac{2l}{n}} + \frac{n}{(n+2l)} \sum_{p=1}^{l} \frac{l+1-p}{(24)^{p}n \cdots (n+2p-2)} \frac{(2\pi)^{2(l-p)}}{(\omega_{n}V(\Omega))^{\frac{2(l-p)}{n}}} \left(\frac{V(\Omega)}{I(\Omega)}\right)^{p} k^{\frac{2(l-p)}{n}}.$$
(1.13)

When l = 1, (1.13) becomes (1.5).

In this paper, we obtain the following result for problem (1.1).

Theorem 1. Let Ω be a bounded domain in an n-dimensional Euclidean space \mathbb{R}^n . Denote by λ_j the j-th eigenvalue of problem (1.1). Then we have

$$\frac{1}{k} \sum_{j=1}^{k} \lambda_{j} \geq \frac{n}{n+2l} \frac{(2\pi)^{2l}}{(\omega_{n}V(\Omega))^{\frac{2l}{n}}} k^{\frac{2l}{n}} + \frac{nl}{48} \frac{(2\pi)^{2l-2}}{(\omega_{n}V(\Omega))^{\frac{2l-2}{n}}} \frac{V(\Omega)}{I(\Omega)} k^{\frac{2l-2}{n}} \left(1-\varepsilon_{n}(k)\right), \tag{1.14}$$

where $0 \le \varepsilon_n(k) = O(k^{-\frac{2}{n}})$ is a infinitesimal of $k^{-\frac{2}{n}}$.

Remark 1.1. Taking l = 1 in (1.14), we obtain (1.6). Moreover, the second term on the right-hand side of (1.13) is

$$\frac{l}{24(n+2l)} \frac{(2\pi)^{2l-2}}{(\omega_n V(\Omega))^{\frac{2l-2}{n}}} \frac{V(\Omega)}{I(\Omega)} k^{\frac{2l-2}{n}}.$$

Hence, the second term on the right-hand side of (1.14) is $\frac{n(n+2l)}{2}$ times larger than that of (1.13). Thus, for large k, (1.14) is sharper than (1.13).

Furthermore, we investigate the following Dirichlet eigenvalue problem of quadratic polynomial operator of the Laplacian:

$$\begin{cases} \Delta^2 u - a\Delta u = \Gamma u, & \text{on } \Omega, \\ u|_{\partial\Omega} = \frac{\partial u}{\partial \nu}|_{\partial\Omega} = 0, \end{cases}$$
 (1.15)

where a is a nonnegative constant. Levine and Protter [8] proved that the eigenvalues of this problem satisfy

$$\Gamma_k \ge \frac{n}{n+4} \frac{(2\pi)^4}{(\omega_n V(\Omega))^{\frac{4}{n}}} k^{\frac{4}{n}} + \frac{na}{n+2} \frac{(2\pi)^2}{(\omega_n V(\Omega))^{\frac{2}{n}}} k^{\frac{2}{n}}.$$
(1.16)

In this paper, we derive the following results for problem (1.15).

Theorem 2. Let Ω be a bounded domain in \mathbb{R}^n . Denote by Γ_j the j-th eigenvalue of problem (1.15). Then we have

$$\frac{1}{k} \sum_{j=1}^{k} \Gamma_{j} \geq \frac{n}{n+4} \frac{(2\pi)^{4}}{(\omega_{n}V(\Omega))^{\frac{4}{n}}} k^{\frac{4}{n}} + \left(\frac{n}{24} \frac{V(\Omega)}{I(\Omega)} + \frac{na}{n+2}\right) \frac{(2\pi)^{2}}{(\omega_{n}V(\Omega))^{\frac{2}{n}}} k^{\frac{2}{n}} + \left[-\frac{n(n^{2}-4)}{3840} \frac{V(\Omega)}{I(\Omega)} + \frac{na}{48}\right] \frac{V(\Omega)}{I(\Omega)} \left(1 - \varepsilon_{n}(k)\right), \tag{1.17}$$

where $0 \le \varepsilon_n(k) = O(k^{-\frac{2}{n}})$ is a infinitesimal of $k^{-\frac{2}{n}}$.

For the special cases of n = 2, 3, 4, we prove the following sharper result:

Theorem 3. Denote by Γ_j the j-th eigenvalue of problem (1.15) on a bounded domain Ω in \mathbb{R}^n , where n = 2, 3, 4. Then we have

$$\frac{1}{k} \sum_{j=1}^{k} \Gamma_{j} \ge \frac{n}{n+4} \frac{(2\pi)^{4}}{(\omega_{n}V(\Omega))^{\frac{4}{n}}} k^{\frac{4}{n}} + \left(\frac{n}{24} \alpha_{n} \frac{V(\Omega)}{I(\Omega)} + \frac{na}{n+2}\right) \frac{(2\pi)^{2}}{(\omega_{n}V(\Omega))^{\frac{2}{n}}} k^{\frac{2}{n}} + \frac{na}{48} \beta_{n} \frac{V(\Omega)}{I(\Omega)},$$
(1.18)

where $\alpha_2 = \frac{12095}{12096}$, $\beta_2 = \frac{119}{120}$, $\alpha_3 = 0.991$, $\beta_3 = 0.986$, $\alpha_4 = 0.985$ and $\beta_4 = 0.983$.

Making a modification in the proof of Theorem 3, we can get the following result:

Theorem 4. Denote by Γ_j the j-th eigenvalue of problem (1.15) on a bounded domain Ω in \mathbb{R}^n , where n = 3, 4. Then we have

$$\frac{1}{k} \sum_{j=1}^{k} \Gamma_{j} \ge \frac{n}{n+4} \frac{(2\pi)^{4}}{(\omega_{n}V(\Omega))^{\frac{4}{n}}} k^{\frac{4}{n}} + \left(\frac{n}{24} \frac{V(\Omega)}{I(\Omega)} + \frac{na}{n+2}\right) \frac{(2\pi)^{2}}{(\omega_{n}V(\Omega))^{\frac{2}{n}}} k^{\frac{2}{n}} + \left[-\frac{n(n^{2}-4)}{3840} \frac{V(\Omega)}{I(\Omega)} + \frac{na}{48} \beta_{n}\right] \frac{V(\Omega)}{I(\Omega)}.$$
(1.19)

Remark 1.2. Taking a = 0 in (1.17), (1.18) and (1.19), we can get some results for the clamped plate problem.

2 Proofs of the main results

In order to prove Theorem 1, we need the following lemma derived by Ilyin [6].

Lemma 1. Let

$$\Psi_s(r) = \begin{cases} M, & for \quad 0 \le r \le s; \\ M - L(r - s), & for \quad s \le r \le s + \frac{M}{L}; \\ 0, & for \quad r \ge s + \frac{M}{L}. \end{cases}$$

Suppose that $\int_0^{+\infty} r^b \Psi_s(r) dr = m^*$ and $d \ge b$. Then for any decreasing and absolutely continuous function F satisfying the conditions

$$0 \le F \le M, \quad \int_0^{+\infty} r^b F(r) dr = m^*, \quad 0 \le -F' \le L,$$
 (2.1)

the following inequality holds:

$$\int_0^{+\infty} r^d F(r) dr \ge \int_0^{+\infty} r^d \Psi_s(r) dr. \tag{2.2}$$

Now we give the proof of Theorem 1.

Proof of Thereom 1 Let u_j be an orthonormal eigenfuction corresponding to the j-th eigenvalue λ_j of problem (1.1). Denote by $\widehat{u}_j(\xi)$ the Fourier transform of $u_j(x)$, which is defined by

$$\widehat{u}_j(\xi) = (2\pi)^{-\frac{n}{2}} \int_{\Omega} u_j(x) e^{ix\cdot\xi} dx. \tag{2.3}$$

It follows from Plancherel's Theorem that

$$\int_{\Omega} \widehat{u}_j(\xi)\widehat{u}_q(\xi)d\xi = \delta_{jq}. \tag{2.4}$$

Set $h(\xi) = \sum_{j=1}^k |\widehat{u}_j(\xi)|^2$. From (2.4) and Bessel's inequality, one can get

$$h(\xi) = \sum_{j=1}^{k} |\widehat{u}_j(\xi)|^2 \le (2\pi)^{-n} \int_{\Omega} |e^{ix\cdot\xi}|^2 dx = (2\pi)^{-n} V(\Omega).$$
 (2.5)

Moreover, Parsevel's identity implies that

$$\int_{\mathbb{R}^n} h(\xi) d\xi = \sum_{j=1}^k \int_{\Omega} |u_j(x)|^2 dx = k.$$
 (2.6)

Since

$$\nabla \widehat{u}_j(\xi) = (2\pi)^{-\frac{n}{2}} \int_{\Omega} ix u_j(x) e^{ix \cdot \xi} dx,$$

we have

$$\sum_{j=1}^{k} |\nabla \widehat{u}_j(\xi)|^2 \le (2\pi)^{-n} \int_{\Omega} |ixe^{ix\cdot\xi}|^2 dx = (2\pi)^{-n} I(\Omega). \tag{2.7}$$

It follows from (2.5) and (2.7) that

$$|\nabla h(\xi)| \le 2\left(\sum_{j=1}^{k} |\widehat{u}_{j}(\xi)|^{2}\right)^{\frac{1}{2}} \left(\sum_{j=1}^{k} |\nabla \widehat{u}_{j}(\xi)|^{2}\right)^{\frac{1}{2}} \le 2(2\pi)^{-n} \sqrt{V(\Omega)I(\Omega)}. \tag{2.8}$$

Denote by $h^*(\xi) = \psi(|\xi|)$ the symmetric decreasing rearrangement (see [2,14]) of h. From

$$k = \sum_{j=1}^{k} \int_{\Omega} |u_j(x)|^2 dx = \int_{\mathbb{R}^n} h(\xi) d\xi = \int_{\mathbb{R}^n} h^*(\xi) d\xi = n\omega_n \int_0^{+\infty} r^{n-1} \psi(r) dr,$$

we get

$$\int_0^{+\infty} r^{n-1} \psi(r) dr = \frac{k}{n\omega_n}.$$
 (2.9)

At the same time, using integration by parts and Parseval's identity, we have

$$\int_{\mathbb{R}^{n}} |\xi|^{2l} h(\xi) d\xi = \sum_{j=1}^{k} \sum_{p_{1}, \dots, p_{l}=1}^{n} \int_{\mathbb{R}^{n}} \left| (2\pi)^{-\frac{n}{2}} \int_{\Omega} \xi_{p_{1}} \dots \xi_{p_{l}} u_{j}(x) e^{ix \cdot \xi} dx \right|^{2} d\xi$$

$$= \sum_{j=1}^{k} \sum_{p_{1}, \dots, p_{l}=1}^{n} \int_{\mathbb{R}^{n}} \left| (2\pi)^{-\frac{n}{2}} \int_{\Omega} \frac{\partial^{l} u_{j}(x)}{\partial x_{p_{1}} \dots \partial x_{p_{l}}} e^{ix \cdot \xi} dx \right|^{2} d\xi$$

$$= \sum_{j=1}^{k} \sum_{p_{1}, \dots, p_{l}=1}^{n} \int_{\mathbb{R}^{n}} \left| \frac{\widehat{\partial^{l} u_{j}(\xi)}}{\partial x_{p_{1}} \dots \partial x_{p_{l}}} \right|^{2} d\xi$$

$$= \sum_{j=1}^{k} \sum_{p_{1}, \dots, p_{l}=1}^{n} \int_{\mathbb{R}^{n}} \left(\frac{\partial^{l} u_{j}(x)}{\partial x_{p_{1}} \dots \partial x_{p_{l}}} \right)^{2} dx$$

$$= \sum_{j=1}^{k} \int_{\Omega} u_{j}(x) (-\Delta)^{l} u_{j}(x) dx.$$
(2.10)

Thus, it yields

$$\sum_{j=1}^{k} \lambda_j = \int_{\mathbb{R}^n} |\xi|^{2l} h(\xi) d\xi. \tag{2.11}$$

Making use of (2.11) and the properties of symmetric decreasing rearrangement, we obtain

$$\sum_{j=1}^{k} \lambda_{j} = \int_{\mathbb{R}^{n}} |\xi|^{2l} h(\xi) d\xi \ge \int_{\mathbb{R}^{n}} |\xi|^{2l} h^{*}(\xi) d\xi = n\omega_{n} \int_{0}^{+\infty} r^{n+2l-1} \psi(r) dr.$$
 (2.12)

Noticing (2.5), (2.8) and (2.9), we can apply Lemma 1 to ψ with b = n - 1 and d = n + 2l - 1. Therefore, using (2.12), we have

$$\sum_{j=1}^{k} \lambda_j \ge n\omega_n \int_0^{+\infty} r^{n+2l-1} \psi(r) dr \ge n\omega_n \int_0^{+\infty} r^{n+2l-1} \Psi_s(r) dr$$
 (2.13)

with $M=(2\pi)^{-n}V(\Omega),\ m_*=\frac{k}{n\omega_n}$ and $L=2(2\pi)^{-n}\sqrt{V(\Omega)I(\Omega)}$. Set $t=\frac{Ls}{M}$. Combining (2.9) and

$$\int_0^{+\infty} r^{n-1} \psi(r) dr = \int_0^{+\infty} r^{n-1} \Psi_s(r) dr = \frac{M^{n+1}}{n(n+1)L^n} \left[(t+1)^{n+1} - t^{n+1} \right],$$

it yields

$$(t+1)^{n+1} - t^{n+1} = k_*, (2.14)$$

where

$$k_* = k \frac{(n+1)L^n}{\omega_n M^{n+1}}.$$

Set $\eta = t - \frac{1}{2}$. Then (2.14) becomes

$$(\eta + \frac{1}{2})^{n+1} - (\eta - \frac{1}{2})^{n+1} = k_*. \tag{2.15}$$

The asymptotic expansion for the unique positive root of (2.15) is

$$\eta(k_*) = \zeta - \frac{n-1}{24}\zeta^{-1} + \frac{(n-1)(n-3)(2n+1)}{5760}\zeta^{-3} + \cdots, \qquad (2.16)$$

where $\zeta = \left(\frac{k_*}{n+1}\right)^{\frac{1}{n}}$. Then we can deduce

$$\left(t(k^*)+1\right)^{n+2l+1} - t(k^*)^{n+2l+1} \\
= \binom{n+2l+1}{1} \zeta^{n+2l} + 2 \left[\frac{1}{2^3} \binom{n+2l+1}{3} - \frac{n-1}{48} \binom{n+2l+1}{2} \binom{2}{1} \right] \zeta^{n+2l-2} \\
+ 2 \left[\frac{1}{2^5} \binom{n+2l+1}{5} - \frac{1}{2^3} \frac{n-1}{24} \binom{n+2l+1}{4} \binom{4}{1} + \frac{1}{2} \frac{(n-1)^2}{24^2} \binom{n+2l+1}{3} \binom{3}{1} + \frac{1}{2} \frac{(n-1)(n-3)(2n+1)}{5760} \binom{n+2l+1}{2} \binom{2}{1} \right] \zeta^{n+2l-4} + \cdots \\
= (n+2l+1) \left[\zeta^{n+2l} + \frac{l(n+2l)}{12} \zeta^{n+2l-2} + \frac{(n+2l)C(n,l)}{5760} \zeta^{n+2l-4} + \cdots \right], \tag{2.17}$$

where $\binom{q}{t} = \frac{q!}{t!(q-t)!}$ and

$$C(n,l) = (n+2l-1)\left[(n+2l-2)(6l-7n+1) + 5(n-1)^2\right] + (n-1)(n-3)(2n+1).$$

Using (2.17), we get

$$n\omega_{n} \int_{0}^{+\infty} r^{n+2l-1} \Psi_{s}(r) dr$$

$$= \frac{n\omega_{n} M^{n+2l+1}}{(n+2l)(n+2l+1)L^{n+2l}} \left[\left(t(k_{*}) + 1 \right)^{n+2l+1} - t(k_{*})^{n+2l+1} \right]$$

$$= \frac{n\omega_{n} M^{n+2l+1}}{(n+2l)L^{n+2l}} \left[\left(\frac{k_{*}}{n+1} \right)^{\frac{n+2l}{n}} + \frac{l(n+2l)}{12} \left(\frac{k_{*}}{n+1} \right)^{\frac{n+2l-2}{n}} + \frac{(n+2l)C(n,l)}{5760} \left(\frac{k_{*}}{n+1} \right)^{\frac{n+2l-4}{n}} + \cdots \right].$$
(2.18)

Substituting $k_* = k \frac{(n+1)L^n}{\omega_n M^{n+1}}$, $M = (2\pi)^{-n} V(\Omega)$ and $L = 2(2\pi)^{-n} \sqrt{V(\Omega)I(\Omega)}$ into (2.18), we have

$$n\omega_{n} \int_{0}^{+\infty} r^{n+2l-1} \Psi_{s}(r) dr$$

$$= \frac{n}{n+2l} \omega_{n}^{-\frac{2l}{n}} M^{-\frac{2l}{n}} k^{1+\frac{2l}{n}} + \frac{nl}{12} \omega_{n}^{-\frac{2l-2}{n}} \frac{M^{2-\frac{2l-2}{n}}}{L^{2}} k^{1+\frac{2l-2}{n}}$$

$$+ \frac{nC(n,l)}{5760} \omega_{n}^{-\frac{2l-4}{n}} \frac{M^{4-\frac{2l-4}{n}}}{L^{4}} k^{1+\frac{2l-4}{n}} + O(k^{1+\frac{2l-6}{n}})$$

$$= \frac{n}{n+2l} \frac{(2\pi)^{2l}}{(\omega_{n}V(\Omega))^{\frac{2l}{n}}} k^{1+\frac{2l}{n}} + \frac{nl}{48} \frac{(2\pi)^{2l-2}}{(\omega_{n}V(\Omega))^{\frac{2l-2}{n}}} \frac{V(\Omega)}{I(\Omega)} k^{1+\frac{2l-2}{n}}$$

$$+ \frac{nC(n,l)}{92160} \frac{(2\pi)^{2l-4}}{(\omega_{n}V(\Omega))^{\frac{2l-4}{n}}} \left(\frac{V(\Omega)}{I(\Omega)}\right)^{2} k^{1+\frac{2l-4}{n}} + O(k^{1+\frac{2l-6}{n}}).$$
(2.19)

Inserting (2.19) into (2.13), we know that (1.14) is true. This completes the proof of Theorem 1. \Box

Proof of Thereom 2 It follows from (2.10) that

$$\sum_{j=1}^{k} \Gamma_{j} = \sum_{j=1}^{k} \int_{\Omega} u_{j}(x) \left(\Delta^{2} u_{j}(x) - a \Delta u_{j}(x) \right) dx$$

$$= \int_{\mathbb{R}^{n}} |\xi|^{4} h(\xi) d\xi + a \int_{\mathbb{R}^{n}} |\xi|^{2} h(\xi) d\xi$$

$$\geq \int_{\mathbb{R}^{n}} |\xi|^{4} h^{*}(\xi) d\xi + a \int_{\mathbb{R}^{n}} |\xi|^{2} h^{*}(\xi) d\xi$$

$$= n\omega_{n} \left(\int_{0}^{+\infty} r^{n+3} \psi(r) dr + a \int_{0}^{+\infty} r^{n+1} \psi(r) dr \right).$$
(2.20)

Then, applying Lemma 1 to ψ and using (2.20), we obtain

$$\sum_{i=1}^{k} \Gamma_j \ge n\omega_n \left(\int_0^{+\infty} r^{n+3} \Psi_s(r) dr + a \int_0^{+\infty} r^{n+1} \Psi_s(r) dr \right). \tag{2.21}$$

Observe that $C(n, l) = -24n^2 + 96$ when l = 2 and C(n, l) = -4(3n + 2)(n - 1)when l = 1. Therefore, from (2.19), we have

$$n\omega_{n} \left(\int_{0}^{+\infty} r^{n+3} \Psi_{s}(r) dr + a \int_{0}^{+\infty} r^{n+1} \Psi_{s}(r) dr \right)$$

$$= \frac{n}{n+4} \frac{(2\pi)^{4}}{(\omega_{n} V(\Omega))^{\frac{4}{n}}} k^{1+\frac{4}{n}} + \left(\frac{n}{24} \frac{V(\Omega)}{I(\Omega)} + \frac{na}{n+2} \right) \frac{(2\pi)^{2}}{(\omega_{n} V(\Omega))^{\frac{2}{n}}} k^{1+\frac{2}{n}}$$

$$+ \left[-\frac{n(n^{2}-4)}{3840} \frac{V(\Omega)}{I(\Omega)} + a \frac{n}{48} \right] \frac{V(\Omega)}{I(\Omega)} k + O(k^{1-\frac{2}{n}}).$$
(2.22)

Then it is easy to find that (1.17) holds. This completes the proof of Theorem 2. \square

Proof of Thereom 3 When n=2, making use of (1.7) and (1.10), we have

$$\sum_{j=1}^{k} \Gamma_{j} = \int_{\mathbb{R}^{n}} |\xi|^{4} h(\xi) d\xi + a \int_{\mathbb{R}^{n}} |\xi|^{2} h(\xi) d\xi
\geq 2\omega_{2} \int_{0}^{+\infty} r^{5} \Psi_{s}(r) dr + 2a\omega_{2} \int_{0}^{+\infty} r^{3} \Psi_{s}(r) dr
\geq \frac{1}{3} \frac{(2\pi)^{4}}{(\omega_{2} V(\Omega))^{2}} k^{3} + \left(\frac{\alpha_{2}}{12I(\Omega)} + \frac{a}{2V(\Omega)}\right) \frac{(2\pi)^{2}}{\omega_{2}} k^{2} + \frac{a}{24} \beta_{2} \frac{V(\Omega)}{I(\Omega)} k,$$
(2.23)

where $\alpha_2 = \frac{12095}{12096}$ and $\beta_2 = \frac{119}{120}$. When n = 3, it follows from (2.21) that

$$\sum_{j=1}^{k} \Gamma_{j} \ge 3\omega_{3} \int_{0}^{+\infty} r^{6} \Psi_{s}(r) dr + 3a\omega_{3} \int_{0}^{+\infty} r^{4} \Psi_{s}(r) dr.$$
 (2.24)

Now we make an estimate for the lower bound of $\int_0^{+\infty} r^6 \Psi_s(r) dr$. Since

$$\int_0^{+\infty} r^6 \Psi_s(r) dr = \frac{M^8}{56L^7} \left[\left(t(k_*) + 1 \right)^8 - t(k_*)^8 \right],$$

we need to estimate $(t(k_*)+1)^8-t(k_*)^8$. The equation (2.14) becomes $(t+1)^4-t^4=$ k_* when n=3. Its positive root $t(k_*)$ is

$$t(k_*) = \frac{1}{2} (\rho(k_*) - \varrho(k_*)) - \frac{1}{2},$$

where $\rho(k_*) = \left(k_* + \sqrt{k_*^2 + \frac{1}{27}}\right)^{\frac{1}{3}}$ and $\varrho(k_*) = \left(-k_* + \sqrt{k_*^2 + \frac{1}{27}}\right)^{\frac{1}{3}}$. Set $\vartheta(k_*) = \frac{1}{2}$ $\frac{1}{2}(\rho(k_*)-\rho(k_*))$. Then we have

$$(t(k_*) + 1)^8 - t(k_*)^8 = 8\vartheta(k_*)^7 + 14\vartheta(k_*)^5 + \frac{7}{2}\vartheta(k_*)^3 + \frac{1}{8}\vartheta(k_*)$$

$$= \frac{1}{16} \left[(\rho(k_*) - \varrho(k_*))^7 + 7(\rho(k_*) - \varrho(k_*))^5 + 7(\rho(k_*) - \varrho(k_*))^3 + (\rho(k_*) - \varrho(k_*)) \right].$$
(2.25)

Observe that

$$\rho(k_*) \cdot \varrho(k_*) = \frac{1}{3}. \tag{2.26}$$

Then, using (2.26), we have

$$(\rho(k_*) - \varrho(k_*))^7$$

$$= \rho(k_*) (\rho(k_*)^6 + 7\varrho(k_*)^6) + 21\rho(k_*)^2 \varrho(k_*)^2 (\rho(k_*)^3 - \varrho(k_*)^3)$$

$$- 35\rho(k_*)^3 \varrho(k_*)^3 (\rho(k_*) - \varrho(k_*)) - \varrho(k_*) (7\rho(k_*)^6 + \varrho(k_*)^6)$$

$$= (k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{1}{3}} (16k_*^2 - 12k_* \sqrt{k_*^2 + \frac{1}{27}} - 1) + \frac{14}{3}k_*$$

$$- (-k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{1}{3}} (16k_*^2 + 12k_* \sqrt{k_*^2 + \frac{1}{27}} - 1),$$
(2.27)

$$(\rho(k_*) - \varrho(k_*))^5 = \rho(k_*)^5 - 5\rho(k_*)\varrho(k_*)(\rho(k_*)^3 - \varrho(k_*)^3) + 10\rho(k_*)^2\varrho(k_*)^2(\rho(k_*) - \varrho(k_*)) - \varrho(k_*)^5$$

$$= (k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{5}{3}} - (-k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{5}{3}} - \frac{10}{3}k_* + \frac{10}{9}(k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{1}{3}}$$

$$- \frac{10}{9}(-k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{1}{3}}$$
(2.28)

and

$$7(\rho(k_*) - \varrho(k_*))^3 + (\rho(k_*) - \varrho(k_*))$$

$$=7[\rho(k_*)^3 - \varrho(k_*)^3 - 3\rho(k_*)\varrho(k_*)(\rho(k_*) - \varrho(k_*))] + (\rho(k_*) - \varrho(k_*))$$

$$=14k_* - 6(k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{1}{3}} + 6(-k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{1}{3}}.$$
(2.29)

Substituting (2.27-2.29) into (2.25), we obtain

$$(t(k_*) + 1)^8 - t(k_*)^8$$

$$= (k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{1}{3}} (k_*^2 - \frac{3}{4} k_* \sqrt{k_*^2 + \frac{1}{27}}) - \frac{7}{16} (-k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{5}{3}}$$

$$+ \left[\frac{7}{16} (k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{5}{3}} - (-k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{1}{3}} (k_*^2 + \frac{3}{4} k_* \sqrt{k_*^2 + \frac{1}{27}}) \right]$$

$$+ \frac{7}{144} \left[(k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{1}{3}} - (-k_* + \sqrt{k_*^2 + \frac{1}{27}})^{\frac{1}{3}} \right] - \frac{7}{24} k_*.$$
(2.30)

Now we make some estimates for some terms in the right hand side of (2.30). The first term can be estimated as follows:

$$\left(k_* + \sqrt{k_*^2 + \frac{1}{27}}\right)^{\frac{1}{3}} \left(k_*^2 - \frac{3}{4}k_* \sqrt{k_*^2 + \frac{1}{27}}\right) \ge \frac{2^{\frac{1}{3}}}{4} k_*^{\frac{7}{3}} - \frac{2^{\frac{1}{3}}}{72} k_*^{\frac{1}{3}}. \tag{2.31}$$

Here we use the inequality $\sqrt{k_*^2 + \frac{1}{27}} \le k_* + \frac{1}{54k_*}$ since k_* is large. The second term is

$$-\frac{7}{16}\left(-k_* + \sqrt{k_*^2 + \frac{1}{27}}\right)^{\frac{5}{3}} \ge -\frac{7 \cdot 2^{\frac{1}{3}}}{16 \cdot 54 \cdot 18} k_*^{-\frac{5}{3}}.$$
 (2.32)

The third term is

$$\frac{7}{16} \left(k_* + \sqrt{k_*^2 + \frac{1}{27}}\right)^{\frac{5}{3}} - \left(-k_* + \sqrt{k_*^2 + \frac{1}{27}}\right)^{\frac{1}{3}} \left(k_*^2 + \frac{3}{4}k_*\sqrt{k_*^2 + \frac{1}{27}}\right) \\
\ge \frac{7 \cdot 2^{\frac{2}{3}}}{12} k_*^{\frac{5}{3}} - \frac{2^{\frac{2}{3}}}{432} k_*^{-\frac{1}{3}}.$$
(2.33)

The fourth term is

$$\frac{7}{144} \left[\left(k_* + \sqrt{k_*^2 + \frac{1}{27}} \right)^{\frac{1}{3}} - \left(-k_* + \sqrt{k_*^2 + \frac{1}{27}} \right)^{\frac{1}{3}} \right] \ge \frac{7 \cdot 2^{\frac{1}{3}}}{144} k_*^{\frac{1}{3}} - \frac{7 \cdot 2^{\frac{2}{3}}}{16 \cdot 54} k_*^{-\frac{1}{3}}.$$
(2.34)

Therefore, using (2.31-2.34) in (2.30), we have

$$(t(k_*) + 1)^8 - t(k_*)^8 \ge \frac{2^{\frac{1}{3}}}{4} k_*^{\frac{7}{3}} + \frac{7 \cdot 2^{\frac{2}{3}}}{12} k_*^{\frac{5}{3}} - \frac{7}{24} k_* + \frac{5 \cdot 2^{\frac{1}{3}}}{144} k_*^{\frac{1}{3}} - \frac{2^{\frac{2}{3}}}{96} k_*^{-\frac{1}{3}} - \frac{7}{16 \cdot 54 \cdot 18} k_*^{-\frac{5}{3}}$$

$$\ge \frac{2^{\frac{1}{3}}}{4} k_*^{\frac{7}{3}} + \frac{7 \cdot 2^{\frac{2}{3}}}{12} k_*^{\frac{5}{3}} - \frac{7}{24} k_*.$$
(2.35)

Here we used the fact that $k_* \geq 1$. In fact, noticing $k_* \geq \frac{(n+1)(4\pi)^n}{\omega_n^2}(\frac{n}{n+2})^{\frac{n}{2}}$, it is not difficult to observe that $k_* = 4kL^3(\omega_3)^{-1}M^{-4} \geq \tau := \frac{432\sqrt{15}\pi}{25} \approx 210.25$ when n=3. Hence, when $\alpha \geq \frac{7}{24}\tau^{-\frac{2}{3}}$, the following inequality

$$\alpha k_*^{\frac{5}{3}} \ge \frac{7}{24} k_*,$$

holds for $k_* \in [\tau, +\infty)$. Since $1 - \frac{6}{7} \cdot 2^{\frac{1}{3}} \alpha \le 1 - \frac{1}{4} \cdot 2^{\frac{1}{3}} \tau^{-\frac{2}{3}} \approx 0.9911$, we can conclude that

$$(t(k_*) + 1)^8 - t(k_*)^8 \ge \frac{2^{\frac{1}{3}}}{4} k_*^{\frac{7}{3}} + \frac{7 \cdot 2^{\frac{2}{3}}}{12} \alpha_3 k_*^{\frac{5}{3}},$$
 (2.36)

where $\alpha_3 = 0.991$. Therefore, using (2.36), we derive

$$3\omega_{3} \int_{0}^{+\infty} r^{6} \Psi_{s}(r) dr = \frac{3\omega_{3} M^{8}}{56L^{7}} \left[\left(t(k_{*}) + 1 \right)^{8} - t(k_{*})^{8} \right]$$

$$\geq \frac{3 \cdot 2^{\frac{1}{3}} \omega_{3} M^{8}}{224L^{7}} k_{*}^{\frac{7}{3}} + \frac{2^{\frac{2}{3}} \omega_{3} M^{8}}{32L^{7}} \alpha_{3} k_{*}^{\frac{5}{3}}$$

$$= \frac{3}{7} \frac{(2\pi)^{4}}{(\omega_{3} V(\Omega))^{\frac{4}{3}}} k^{\frac{7}{3}} + \frac{1}{8} \alpha_{3} \frac{(2\pi)^{2}}{(\omega_{3} V(\Omega))^{\frac{2}{3}}} \frac{V(\Omega)}{I(\Omega)} k^{\frac{5}{3}}.$$

$$(2.37)$$

At the same time, it follows from (1.7) that

$$3\omega_3 \int_0^{+\infty} r^4 \Psi_s(r) dr \ge \frac{3}{5} \frac{(2\pi)^2}{(\omega_3 V(\Omega))^{\frac{2}{3}}} k^{\frac{5}{3}} + \frac{1}{16} \beta_3 \frac{V(\Omega)}{I(\Omega)} k, \tag{2.38}$$

where $\beta_3 = 0.986$. Substituting (2.37) and (2.38) into (2.24), we obtain

$$\sum_{j=1}^{k} \Gamma_{j} \geq \frac{3}{7} \frac{(2\pi)^{4}}{(\omega_{3}V(\Omega))^{\frac{4}{3}}} k^{\frac{7}{3}} + \left(\frac{1}{8}\alpha_{3} \frac{V(\Omega)}{I(\Omega)} + \frac{3a}{5}\right) \frac{(2\pi)^{2}}{(\omega_{3}V(\Omega))^{\frac{2}{3}}} k^{\frac{5}{3}} + \frac{a}{16}\beta_{3} \frac{V(\Omega)}{I(\Omega)} k.$$
(2.39)

When n = 4, it follows from (2.21) that

$$\sum_{j=1}^{k} \Gamma_{j} \ge 4\omega_{4} \int_{0}^{+\infty} r^{7} \Psi_{s}(r) dr + 4a\omega_{4} \int_{0}^{+\infty} r^{5} \Psi_{s}(r) dr.$$
 (2.40)

Now we make an estimate for the lower bound of $\int_0^{+\infty} r^7 \Psi_s(r) dr$. Since

$$\int_0^{+\infty} r^7 \Psi_s(r) dr = \frac{M^9}{72L^8} \left[\left(t(k_*) + 1 \right)^9 - t(k_*)^9 \right],$$

we need to estimate $(t(k_*)+1)^9-t(k_*)^9$. The equation (2.14) becomes $(t+1)^5-t^5=k_*$ when n=4. Its positive root $t(k_*)$ is

$$t(k_*) = \theta(k_*) - \frac{1}{2},$$

where $\theta(k_*) = \sqrt{\frac{\sqrt{20k_*+5}}{10} - \frac{1}{4}}$. Then we have

$$(t(k_*) + 1)^9 - t(k_*)^9$$

$$= 9\theta(k_*)^8 + 21\theta(k_*)^6 + \frac{63}{8}\theta(k_*)^4 + \frac{9}{16}\theta(k_*)^2 + \frac{1}{2^8}$$

$$= \frac{9}{25}k_*^2 + \frac{6}{25}k_*\sqrt{20k_* + 5} - \frac{18}{25}k_* + \frac{3}{50}\sqrt{20k_* + 5} - \frac{7}{50}$$

$$\geq \frac{9}{25}k_*^2 + \frac{12\sqrt{5}}{25}k_*^{\frac{3}{2}} - \frac{18}{25}k_*.$$
(2.41)

Here we used the fact that $k_* \geq 1$. In fact, noticing $k_* \geq \frac{(n+1)(4\pi)^n}{\omega_n^2} (\frac{n}{n+2})^{\frac{n}{2}}$, it is not difficult to observe that $k_* = 5kL^4(\omega_4)^{-1}M^{-5} \geq \sigma := \frac{5\cdot 2^{12}}{9} \approx 2275.56$ when n=4. Hence, when $\alpha \geq \frac{18}{25}\sigma^{-\frac{1}{2}}$, the following inequality

$$\alpha k_*^{\frac{3}{2}} \ge \frac{18}{25} k_*,$$

holds for $k_* \in [\sigma, +\infty)$. Since $1 - \frac{5\sqrt{5}}{12}\alpha \le 1 - \frac{3\sqrt{5}}{10}\sigma^{-\frac{1}{2}} \approx 0.9859$, we can conclude that

$$(t(k_*) + 1)^9 - t(k_*)^9 \ge \frac{9}{25}k_*^2 + \frac{12\sqrt{5}}{25}\alpha_4 k_*^{\frac{3}{2}}, \tag{2.42}$$

where $\alpha_4 = 0.985$. Therefore, using (2.42), we deduce

$$4\omega_{4} \int_{0}^{+\infty} r^{7} \Psi_{s}(r) dr = \frac{\omega_{4} M^{9}}{18L^{8}} \left[\left(t(k_{*}) + 1 \right)^{9} - t(k_{*})^{9} \right]$$

$$\geq \frac{\omega_{4} M^{9}}{50L^{8}} k_{*}^{2} + \frac{2\sqrt{5}\omega_{4} M^{9}}{75L^{8}} \alpha_{4} k_{*}^{\frac{3}{2}}$$

$$= \frac{1}{2} \frac{(2\pi)^{4}}{\omega_{4} V(\Omega)} k^{2} + \frac{1}{6} \alpha_{4} \frac{(2\pi)^{2}}{(\omega_{4} V(\Omega))^{\frac{1}{2}}} \frac{V(\Omega)}{I(\Omega)} k^{\frac{3}{2}}.$$

$$(2.43)$$

Meanwhile, from (1.7), we have

$$4\omega_4 \int_0^{+\infty} r^5 \Psi_s(r) dr \ge \frac{2}{3} \frac{(2\pi)^2}{(\omega_4 V(\Omega))^{\frac{1}{2}}} k^{\frac{3}{2}} + \frac{1}{12} \beta_4 \frac{V(\Omega)}{I(\Omega)} k, \tag{2.44}$$

where $\beta_4 = 0.983$. Substituting (2.43) and (2.44) into (2.40), we obtain

$$\sum_{j=1}^{k} \Gamma_{j} \geq \frac{1}{2} \frac{(2\pi)^{4}}{\omega_{4} V(\Omega)} k^{2} + \left(\frac{1}{6} \alpha_{4} \frac{V(\Omega)}{I(\Omega)} + \frac{2a}{3}\right) \frac{(2\pi)^{2}}{(\omega_{4} V(\Omega))^{\frac{1}{2}}} k^{\frac{3}{2}} + \frac{a}{12} \beta_{4} \frac{V(\Omega)}{I(\Omega)} k.$$
(2.45)

Therefore, synthesizing (2.23), (2.39) and (2.45), we conclude that (1.18) is true. This concludes the proof of Theorem 3.

Proof of Thereom 4 When n = 3, using (2.35), we derive

$$3\omega_{3} \int_{0}^{+\infty} r^{6} \Psi_{s}(r) dr \geq \frac{3 \cdot 2^{\frac{1}{3}} \omega_{3} M^{8}}{224L^{7}} k_{*}^{\frac{7}{3}} + \frac{2^{\frac{2}{3}} \omega_{3} M^{8}}{32L^{7}} k_{*}^{\frac{5}{3}} - \frac{\omega_{3} M^{8}}{64L^{7}} k_{*}$$

$$= \frac{3}{7} \frac{(2\pi)^{4}}{(\omega_{3} V(\Omega))^{\frac{4}{3}}} k^{\frac{7}{3}} + \frac{1}{8} \frac{(2\pi)^{2}}{(\omega_{3} V(\Omega))^{\frac{2}{3}}} \frac{V(\Omega)}{I(\Omega)} k^{\frac{5}{3}} - \frac{1}{256} \left(\frac{V(\Omega)}{I(\Omega)}\right)^{2} k.$$

$$(2.46)$$

Substituting (2.38) and (2.46) into (2.24), we have

$$\sum_{j=1}^{k} \Gamma_{j} \geq \frac{3}{7} \frac{(2\pi)^{4}}{(\omega_{3}V(\Omega))^{\frac{4}{3}}} k^{\frac{7}{3}} + \left(\frac{1}{8} \frac{V(\Omega)}{I(\Omega)} + \frac{3a}{5}\right) \frac{(2\pi)^{2}}{(\omega_{3}V(\Omega))^{\frac{2}{3}}} k^{\frac{5}{3}} + \left(-\frac{1}{256} \frac{V(\Omega)}{I(\Omega)} + \frac{a}{16} \beta_{3}\right) \frac{V(\Omega)}{I(\Omega)} k.$$
(2.47)

When n = 4, it follows from (2.41) that

$$4\omega_{4} \int_{0}^{+\infty} r^{7} \Psi_{s}(r) dr \ge \frac{\omega_{4} M^{9}}{50L^{8}} k_{*}^{2} + \frac{2\sqrt{5}\omega_{4} M^{9}}{75L^{8}} k_{*}^{\frac{3}{2}} - \frac{\omega_{4} M^{9}}{25L^{8}} k_{*}$$

$$= \frac{1}{2} \frac{(2\pi)^{4}}{\omega_{4} V(\Omega)} k^{2} + \frac{1}{6} \frac{(2\pi)^{2}}{(\omega_{4} V(\Omega))^{\frac{1}{2}}} \frac{V(\Omega)}{I(\Omega)} k^{\frac{3}{2}} - \frac{1}{80} \left(\frac{V(\Omega)}{I(\Omega)}\right)^{2} k.$$

$$(2.48)$$

Substituting (2.44) and (2.48) into (2.40), we obtain

$$\sum_{j=1}^{k} \Gamma_{j} \geq \frac{1}{2} \frac{(2\pi)^{4}}{\omega_{4} V(\Omega)} k^{2} + \left(\frac{1}{6} \frac{V(\Omega)}{I(\Omega)} + \frac{2a}{3}\right) \frac{(2\pi)^{2}}{(\omega_{4} V(\Omega))^{\frac{1}{2}}} k^{\frac{3}{2}} + \left(-\frac{1}{80} \frac{V(\Omega)}{I(\Omega)} + \frac{a}{12} \beta_{4}\right) \frac{V(\Omega)}{I(\Omega)} k.$$
(2.49)

Therefore, combining (2.47) and (2.49), we conclude that (1.19) is true. This completes the proof of Theorem 4.

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