

## On some rigidity results of hypersurfaces in a sphere

**Qing-Ming Cheng**

Department of Mathematics,  
Faculty of Science and Engineering,  
Saga University, 840-8502 Saga,  
Japan ([cheng@ms.saga-u.ac.jp](mailto:cheng@ms.saga-u.ac.jp))

**Haizhong Li**

Department of Mathematical Sciences,  
Tsinghua University, 100084 Beijing,  
People's Republic of China ([hli@math.tsinghua.edu.cn](mailto:hli@math.tsinghua.edu.cn))

**Guoxin Wei**

School of Mathematical Sciences,  
South China Normal University,  
510631 Guangzhou, People's Republic of China  
and  
Department of Mathematics,  
Faculty of Science and Engineering,  
Saga University, 840-8502 Saga, Japan  
([weigx03@mails.tsinghua.edu.cn](mailto:weigx03@mails.tsinghua.edu.cn))

(MS received 15 February 2009; accepted 6 October 2009)

We study the weak stability index of an immersion  $\phi : M \rightarrow S^{n+1}(1) \subset R^{n+2}$  of an  $n$ -dimensional compact Riemannian manifold. We prove that the weak stability index of a compact hypersurface  $M$  with constant scalar curvature in  $S^{n+1}(1)$ , which is not totally umbilical, is greater than or equal to  $n + 2$  if the mean curvature  $H_1$  and  $H_3$  are constant, and that the equality holds if and only if  $M$  is  $S^m(c) \times S^{n-m}(\sqrt{1-c^2})$ . As an application, we show that the weak stability index of an  $n$ -dimensional compact hypersurface with constant scalar curvature in  $S^{n+1}(1)$ , which is neither totally umbilical nor a Clifford hypersurface, is greater than or equal to  $2n + 4$  if the mean curvature  $H_1$  and  $H_3$  are constant.

### 1. Introduction

Let  $\phi : M \rightarrow S^{n+1}(1) \subset R^{n+2}$  be an isometric immersion of an  $n$ -dimensional complete Riemannian manifold. For any point  $x \in M$ , we will denote by  $T_x M$  and  $N_x M$  the tangent space and normal space of  $M$  at  $x$ , respectively. Let us denote by  $\nu : M \rightarrow S^{n+1}(1)$  a normal vector field along  $M$ . The shape operator  $A_x : T_x M \rightarrow T_x M$  is given by  $A_x(v) = -d\nu_x(v) = -\beta'(0)$ , where  $\beta(t) = \nu(\alpha(t))$  and  $\alpha(t)$  is any smooth curve in  $M$  such that  $\alpha(0) = x$  and  $\alpha'(0) = v$ . We know that the linear

map  $A_x$  is symmetric and that its eigenvalues  $k_1(x), \dots, k_n(x)$  are called principal curvatures of  $M$  at  $x$ .

We consider elementary symmetric functions  $S_m(x)$  of the principal curvatures of  $M$  defined by

$$\det(tI - A_x) = \sum_{m=0}^n (-1)^m S_m(x) t^{n-m}.$$

Now,  $H_m(x) = S_m(x)/C_n^m$  with  $C_n^m = n!/m!(n-m)!$  is called the  $m$ th mean curvature of  $M$ , namely,

$$H_m(x) = \frac{1}{C_n^m} \sum_{1 \leq i_1 < i_2 < \dots < i_m \leq n} k_{i_1}(x) \cdots k_{i_m}(x).$$

Hence, the mean curvature  $H(x)$  of  $M$  satisfies  $H(x) = (k_1(x) + \dots + k_n(x))/n = H_1(x)$ , the scalar curvature

$$R(x) = n(n-1)r(x) = n(n-1) + 2S_2(x) = n(n-1) + n(n-1)H_2(x)$$

and the Gauss–Kronercker curvature  $K(x)$  of  $M$  is

$$K(x) = k_1(x) \cdots k_n(x) = H_n(x) = S_n(x).$$

For any  $C^2$  function  $f$  defined on  $M$ , let  $(f_{,ij})$  denote its Hessian. A differential operator  $\square$  defined by

$$\square f = \sum_{i,j=1}^n (nH\delta_{ij} - h_{ij})f_{,ij},$$

where  $h_{ij}$  denotes components of the second fundamental form of  $M$ , was introduced by Cheng and Yau in [5] to study compact hypersurfaces with constant scalar curvature in  $S^{n+1}(1)$ . They proved that if  $M$  is an  $n$ -dimensional compact hypersurface with constant scalar curvature  $n(n-1)r$ ,  $r \geq 1$ , and if the sectional curvature of  $M$  is non-negative, then  $M$  is a totally umbilical hypersurface  $S^n(c)$  or a Clifford hypersurface  $S^m(c) \times S^{n-m}(\sqrt{1-c^2})$ ,  $1 \leq m \leq n-1$ , where  $S^k(c)$  denotes a sphere of radius  $c$ . Cheng [4] and Li [6] also used the differential operator  $\square$  to study complete hypersurfaces with constant scalar curvature.

In [1], Alencar *et al.* studied the stability of compact hypersurfaces with constant scalar curvature  $n(n-1)r$  in  $S^{n+1}(1)$ . In this case, its Jacobi operator  $J_s$  is given by

$$J_s = \square + \{n(n-1)H + nHS - f_3\} = \square + \{(n-1)S_1 + (S_1S_2 - 3S_3)\},$$

where

$$S = \sum_{i=1}^n k_i^2, \quad f_3 = \sum_{i=1}^n k_i^3.$$

It is not difficult to prove that if  $r > 1$ , then  $J_s$  is elliptic. The spectral behaviour of  $J_s$  is directly related to the instability of hypersurfaces with constant scalar curvature in  $S^{n+1}(1)$ .

DEFINITION 1.1 (cf. [2, 8]). Let  $M$  be an  $n$ -dimensional, compact, orientable hypersurface with constant scalar curvature  $n(n-1)r$ ,  $r > 1$ , in  $S^{n+1}(1)$ . A weak stability index of  $M$ ,  $\text{Ind}_T(M)$  is the maximal dimension of any subspace  $V$  of  $C_T^\infty(M)$  on which the quadratic form  $Q$  is negative definite, where

$$C_T^\infty(M) = \left\{ u \in C^\infty(M) : \int_M u \, dv = 0 \right\} \quad \text{and} \quad Q(u, u) = - \int_M u J_s(u) \, dv.$$

We study compact hypersurfaces with constant scalar curvature in  $S^{n+1}(1)$  and we will estimate the weak stability index.

THEOREM 1.2. *Let  $M$  be a compact hypersurface in  $S^{n+1}(1)$  with constant scalar curvature  $R = n(n-1)r > n(n-1)$ . If  $H_1$  and  $H_3$  are constant, then*

- (i) *the weak stability index  $\text{Ind}_T(M)$  of  $M$  is equal to zero: in this case,  $M$  is totally umbilical, or*
- (ii) *the weak stability index  $\text{Ind}_T(M)$  of  $M$  is greater than or equal to  $n+2$ , and the equality holds if and only if  $M$  is  $S^m(c) \times S^{n-m}(\sqrt{1-c^2})$ , where  $c$  satisfies*

$$\frac{nm + \sqrt{m[(2-n)m + (n-1)(n+2)]}}{(n-1)(n+2)} \leq c^2 \leq \frac{(nm + n - 2) + \sqrt{(n-m)(3n - 2m + nm - 2)}}{(n-1)(n+2)}.$$

Given an arbitrary vector  $v \in R^{n+2}$ , we define functions  $l_v : M \rightarrow R$  and  $f_v : M \rightarrow R$  by  $l_v(x) = \langle \phi(x), v \rangle$  and  $f_v(x) = \langle \nu(x), v \rangle$ .

THEOREM 1.3. *Let  $\phi : M \rightarrow S^{n+1}$  be an isometric immersion of an  $n$ -dimensional complete Riemannian manifold  $M$  with constant ratio of the Gauss–Kronercker curvature and the  $(n-1)$ th mean curvature, that is,  $S_n(x) = cS_{n-1}(x)$ , where  $c$  is a constant. If  $l_v = \lambda f_v$ , for some non-zero vector  $v$  and some real number  $\lambda$ , then  $\phi(M)$  is either a totally umbilical sphere or a Clifford hypersurface.*

THEOREM 1.4. *Let  $\phi : M \rightarrow S^{n+1}$  be an isometric immersion of an  $n$ -dimensional complete Riemannian manifold  $M$  with constant scalar curvature  $n(n-1)r$ , where  $r$  satisfies*

$$r \neq 2 \frac{(2k+m)n^2 - (2k^2 + 4k + 2km + m)n + 2k(m+k+1)}{n(2k+m)(2(n-1) - (2k+m))}$$

*for  $0 \leq m \leq n-2$  and  $1 \leq k \leq n-1-m$ . If  $l_v = \lambda f_v$ , for some non-zero vector  $v$  and some real number  $\lambda$ , then  $\phi(M)$  is either a totally umbilical sphere or a Clifford hypersurface.*

We now have the following corollary of theorem 1.2 and theorem 1.4.

COROLLARY 1.5. *Let  $M$  be a compact hypersurface in  $S^{n+1}(1)$  with constant scalar curvature  $n(n-1)r$ , with  $r > 1$  and*

$$r \neq 2 \frac{(2k+m)n^2 - (2k^2 + 4k + 2km + m)n + 2k(m+k+1)}{n(2k+m)(2(n-1) - (2k+m))}$$

for  $0 \leq m \leq n-2, 1 \leq k \leq n-1-m$ . If  $H_1$  and  $H_3$  are constants, then either

- (i)  $M$  is totally umbilical,
- (ii)  $M$  is a Clifford hypersurface or
- (iii) the weak stability index of  $M$  is greater than or equal to  $2n+4$ .

**THEOREM 1.6.** *Let  $\phi : M \rightarrow S^{n+1}$  be an isometric immersion with constant Gauss–Kronercker curvature  $c$ ,  $c \neq \pm 1$ , of an  $n$ -dimensional complete Riemannian manifold. If  $l_v = \lambda f_v$  for some non-zero vector  $v$  and some real number  $\lambda$ , then  $\phi(M)$  is either a totally umbilical sphere or a Clifford hypersurface.*

## 2. The weak stability index of Clifford hypersurfaces

In this section we will compute the weak stability index of the Clifford hypersurface  $S^m(c) \times S^{n-m}(\sqrt{1-c^2})$ ,  $1 \leq m \leq n-1$ .

Since  $S^m(c) \times S^{n-m}(\sqrt{1-c^2})$ ,  $1 \leq m \leq n-1$ , is an isoparametric hypersurface in  $S^{n+1}(1)$ , its principal curvatures are given by

$$k_1 = \cdots = k_m = -\frac{\sqrt{1-c^2}}{c}, \quad k_{m+1} = \cdots = k_n = \frac{c}{\sqrt{1-c^2}}. \quad (2.1)$$

Hence, its mean curvature  $H$ , the squared norm  $S = |A|^2$  of the second fundamental form and  $f_3$  are given by

$$H = \frac{nc^2 - m}{nc\sqrt{1-c^2}}, \quad (2.2)$$

$$S = |A|^2 = \frac{nc^4 - 2mc^2 + m}{c^2(1-c^2)}, \quad (2.3)$$

$$f_3 = \frac{-m(1-c^2)^{3/2}}{c^3} + \frac{(n-m)c^3}{(1-c^2)^{3/2}}. \quad (2.4)$$

From the Gauss equation, we have

$$\begin{aligned} R - n(n-1) &= n(n-1)(r-1) \\ &= n^2H^2 - S \\ &= \frac{n(n-1)c^4 + 2m(1-n)c^2 + m(m-1)}{c^2(1-c^2)}, \end{aligned} \quad (2.5)$$

where  $R$  is the scalar curvature. Thus, we infer that  $r > 1$  if and only if

$$c^2 > \frac{m(n-1) + \sqrt{m(n-1)(n-m)}}{n(n-1)} \quad \text{or} \quad c^2 < \frac{m(n-1) - \sqrt{m(n-1)(n-m)}}{n(n-1)}. \quad (2.6)$$

If the scalar curvature  $R = n(n-1)r > n(n-1)$ , we know from the Gauss equation  $n^2H^2 = S + n(n-1)(r-1)$  that the mean curvature  $H$  does not vanish. Without loss of generality, assume the mean curvature  $H > 0$ , that is,

$$c^2 > \frac{m}{n}. \quad (2.7)$$

From (2.6) and (2.7), we have that

$$1 > c^2 > \frac{m(n-1) + \sqrt{m(n-1)(n-m)}}{n(n-1)}. \quad (2.8)$$

Therefore, we have

$$n(n-1)H + nHS - f_3 = \frac{(n-2m)(n-1)c^4 + 2m(m-1)c^2 - m(m-1)}{c^3(1-c^2)^{3/2}}, \quad (2.9)$$

and the Jacobi operator  $J_s = \square + \{n(n-1)H + nHS - f_3\}$  becomes

$$J_s = \square + \frac{(n-2m)(n-1)c^4 + 2m(m-1)c^2 - m(m-1)}{c^3(1-c^2)^{3/2}}. \quad (2.10)$$

Thus, the eigenvalues of  $J_s$  are given by

$$\lambda_i^{J_s} = \lambda_i^\square + \frac{(n-2m)(1-n)c^4 + 2m(1-m)c^2 + m(m-1)}{c^3(1-c^2)^{3/2}}, \quad (2.11)$$

where  $\lambda_i^\square$  denotes the eigenvalues of the differential operator  $\square$ .

Since the differential operator  $\square$  is self-adjoint and the Clifford hypersurface is closed, we have  $\lambda_1^\square = 0$ , and its corresponding eigenfunctions are non-zero constant functions. Hence,

$$\lambda_1^{J_s} = \frac{(n-2m)(1-n)c^4 + 2m(1-m)c^2 + m(m-1)}{c^3(1-c^2)^{3/2}}$$

with multiplicity one and its corresponding eigenfunctions are non-zero constant functions. Hence,  $\lambda_1^{J_s}$  does not contribute to  $\text{Ind}_T(M)$ . Since the other eigenfunctions  $u$  of  $J_s$  other than the first eigenfunctions are orthogonal to the constant functions, namely,  $\int_M u = 0$ , we know that the other eigenvalues of  $J_s$  contribute to  $\text{Ind}_T(M)$  if they are negative.

Let  $\Delta_1$  and  $\Delta_2$  denote the Laplacians on  $S^m(c)$  and on  $S^{n-m}(\sqrt{1-c^2})$ , respectively. We can derive

$$\square f = (nH\delta_{i,j} - h_{i,j})f_{i,j} = (nH - k_1)\Delta_1 f + (nH - k_n)\Delta_2 f.$$

Hence, the eigenvalues  $\lambda_l^\square$  are given by

$$\lambda_l^\square = (nH - k_1)\lambda_i^{\Delta_1} + (nH - k_n)\lambda_j^{\Delta_2}, \quad (2.12)$$

the multiplicity of  $\lambda_l^\square$  is the sum of the products  $m_{\lambda_i^{\Delta_1}} m_{\lambda_j^{\Delta_2}}$  for all possible values of  $\lambda_i^{\Delta_1}$  and  $\lambda_j^{\Delta_2}$  which satisfy

$$\lambda_l^\square = (nH - k_1)\lambda_i^{\Delta_1} + (nH - k_n)\lambda_j^{\Delta_2},$$

where  $m_{\lambda_{\Delta_j}}$  denotes the multiplicity of  $\lambda_i^{\Delta_j}$ .

We recall that the eigenvalues of the Laplacian  $\Delta_1$  on  $S^m(c)$  are given by

$$\lambda_i^{\Delta_1} = \frac{(i-1)(m+i-2)}{c^2}, \quad i = 1, 2, 3, \dots,$$

with multiplicities

$$m_{\lambda_1^{\Delta_1}} = 1, \quad m_{\lambda_2^{\Delta_1}} = m + 1 \quad \text{and} \quad m_{\lambda_i^{\Delta_1}} = C_{m+i-1}^{i-1} - C_{m+i-3}^{i-3}, \quad i = 3, 4, \dots,$$

and the eigenvalues of the Laplacian  $\Delta_2$  on  $S^{n-m}(\sqrt{1-c^2})$  are given by

$$\lambda_j^{\Delta_2} = \frac{(j-1)(n-m+j-2)}{1-c^2}, \quad j = 1, 2, 3, \dots$$

with multiplicities

$$m_{\lambda_1^{\Delta_2}} = 1, \quad m_{\lambda_2^{\Delta_2}} = n - m + 1$$

and

$$m_{\lambda_j^{\Delta_2}} = C_{n-m+j-1}^{j-1} - C_{n-m+j-3}^{j-3}, \quad j = 3, 4, \dots$$

Therefore, we infer that

$$\begin{aligned} \lambda_l^{J_s} &= \lambda_l^{\square} + \frac{(n-2m)(1-n)c^4 + 2m(1-m)c^2 + m(m-1)}{c^3(1-c^2)^{3/2}} \\ &= (nH - k_1)\lambda_i^{\Delta_1} + (nH - k_n)\lambda_j^{\Delta_2} \\ &\quad + \frac{(n-2m)(1-n)c^4 + 2m(1-m)c^2 + m(m-1)}{c^3(1-c^2)^{3/2}} \\ &= \left( \frac{nc^2 - m}{c\sqrt{1-c^2}} + \frac{\sqrt{1-c^2}}{c} \right) \frac{(i-1)(m+i-2)}{c^2} \\ &\quad + \left( \frac{nc^2 - m}{c\sqrt{1-c^2}} - \frac{c}{\sqrt{1-c^2}} \right) \frac{(j-1)(n-m+j-2)}{1-c^2} \\ &\quad + \frac{(n-2m)(1-n)c^4 + 2m(1-m)c^2 + m(m-1)}{c^3(1-c^2)^{3/2}}. \end{aligned} \quad (2.13)$$

It is not difficult to prove that

$$\begin{aligned} (nH - k_1)\lambda_2^{\Delta_1} + (nH - k_n)\lambda_2^{\Delta_2} \\ + \frac{(n-2m)(1-n)c^4 + 2m(1-m)c^2 + m(m-1)}{c^3(1-c^2)^{3/2}} = 0. \end{aligned}$$

Thus, in order to calculate the weak stability index, it suffices to estimate when

$$(nH - k_1)\lambda_i^{\Delta_1} + (nH - k_n)\lambda_j^{\Delta_2} < (nH - k_1)\lambda_2^{\Delta_1} + (nH - k_n)\lambda_2^{\Delta_2} \quad (2.14)$$

for  $i = 1, j > 1$  and  $i > 1, j = 1$ . By a direct calculation, we obtain, from (2.8),

$$\begin{aligned} (nH - k_1)\lambda_1^{\Delta_1} + (nH - k_n)\lambda_2^{\Delta_2} \\ + \frac{(n-2m)(1-n)c^4 + 2m(1-m)c^2 + m(m-1)}{c^3(1-c^2)^{3/2}} \\ = \frac{m(c^2 - 1)[(n-1)c^2 - (m-1)]}{c^3(1-c^2)^{3/2}} \\ < 0 \end{aligned} \quad (2.15)$$

with multiplicity  $n - m + 1$ , and

$$\begin{aligned}
 & (nH - k_1)\lambda_2^{\Delta_1} + (nH - k_n)\lambda_1^{\Delta_2} \\
 & + \frac{(n - 2m)(1 - n)c^4 + 2m(1 - m)c^2 + m(m - 1)}{c^3(1 - c^2)^{3/2}} \\
 & = \frac{(n - m)[(1 - n)c^4 + mc^2]}{c^3(1 - c^2)^{3/2}} \\
 & < 0
 \end{aligned} \tag{2.16}$$

with multiplicity  $m + 1$ . Therefore, the weak stability index  $\text{Ind}_T(M) \geq n + 2$  for  $M = S^m(c) \times S^{n-m}(\sqrt{1 - c^2})$  with constant scalar curvature  $n(n - 1)r$ ,  $r > 1$ . Moreover,  $\text{Ind}_T(M) = n + 2$  if and only if

$$(nH - k_1)\lambda_1^{\Delta_1} + (nH - k_n)\lambda_3^{\Delta_2} \geq (nH - k_1)\lambda_2^{\Delta_1} + (nH - k_n)\lambda_2^{\Delta_2} \tag{2.17}$$

and

$$(nH - k_1)\lambda_3^{\Delta_1} + (nH - k_n)\lambda_1^{\Delta_2} \geq (nH - k_1)\lambda_2^{\Delta_1} + (nH - k_n)\lambda_2^{\Delta_2}. \tag{2.18}$$

Since

$$\begin{aligned}
 & (nH - k_1)\lambda_1^{\Delta_1} + (nH - k_n)\lambda_3^{\Delta_2} \\
 & + \frac{(n - 2m)(1 - n)c^4 + 2m(1 - m)c^2 + m(m - 1)}{c^3(1 - c^2)^{3/2}} \\
 & = \frac{(n - 1)(n + 2)c^4 - 2nmc^2 + m(m - 1)}{c^3(1 - c^2)^{3/2}},
 \end{aligned} \tag{2.19}$$

$$\begin{aligned}
 & (nH - k_1)\lambda_3^{\Delta_1} + (nH - k_n)\lambda_1^{\Delta_2} \\
 & + \frac{(n - 2m)(1 - n)c^4 + 2m(1 - m)c^2 + m(m - 1)}{c^3(1 - c^2)^{3/2}} \\
 & = \frac{(n + 2)(1 - n)c^4 + (2nm + 2n - 4)c^2 + (m + 2)(1 - m)}{c^3(1 - c^2)^{3/2}}
 \end{aligned} \tag{2.20}$$

and

$$c^2 > \frac{m(n - 1) + \sqrt{m(n - 1)(n - m)}}{n(n - 1)},$$

we obtain that  $\text{Ind}_T(M) = n + 2$  if and only if

$$\begin{aligned}
 & \frac{nm + \sqrt{m[(2 - n)m + (n - 1)(n + 2)]}}{(n - 1)(n + 2)} \\
 & \leq c^2 \leq \frac{(nm + n - 2) + \sqrt{(n - m)(3n - 2m + nm - 2)}}{(n - 1)(n + 2)}.
 \end{aligned}$$

In addition, it is interesting to point out that the weak stability index of Clifford hypersurfaces  $S^m(c) \times S^{n-m}(\sqrt{1 - c^2})$  converge to infinity as  $c^2$  converges to 1.

In fact, we can obtain that, for every  $j \geq 3$ ,

$$(nH - k_1)\lambda_1^{\Delta_1} + (nH - k_n)\lambda_j^{\Delta_2} + \frac{(n-2m)(1-n)c^4 + 2m(1-m)c^2 + m(m-1)}{c^3(1-c^2)^{3/2}} < 0$$

if and only if

$$\frac{m(n-1) + \sqrt{m(n-1)(n-m)}}{n(n-1)} < c^2 < p_j,$$

where

$$\begin{aligned} p_j &= \frac{m((j-1)(n-m+j-2) + 2(m-1)) + \sqrt{D}}{2(n-1)((j-1)(n-m+j-2) - (n-2m))}, \\ D &= m^2((j-1)(n-m+j-2) + 2(m-1))^2 \\ &\quad - 4m(m-1)(n-1)((j-1)(n-m+j-2) - (n-2m)). \end{aligned}$$

For every  $i \geq 3$ , we have

$$\begin{aligned} (nH - k_1)\lambda_i^{\Delta_1} + (nH - k_n)\lambda_1^{\Delta_2} \\ + \frac{(n-2m)(1-n)c^4 + 2m(1-m)c^2 + m(m-1)}{c^3(1-c^2)^{3/2}} < 0 \end{aligned}$$

if and only if

$$q_i < c^2 < 1,$$

where

$$\begin{aligned} q_i &= \frac{-((n+m-2)(i-1)(m+i-2) + 2m(1-m)) - \sqrt{E}}{2(1-n)((i-1)(m+i-2) + (n-2m))}, \\ E &= ((n+m-2)(i-1)(m+i-2) + 2m(1-m))^2 \\ &\quad - 4(1-n)(m-1)((i-1)(m+i-2) + (n-2m))((1-i)(m+i-2) + m). \end{aligned}$$

Hence, we know that if

$$\frac{m(n-1) + \sqrt{m(n-1)(n-m)}}{n(n-1)} < p_{j+1} \leq c^2 < p_j,$$

then

$$\text{Ind}_T(M) = n + 2 + \sum_{l=3}^j m_{\lambda_l^{\Delta_2}} = m + C_{n-m+j-2}^{j-2} + C_{n-m+j-1}^{j-1}.$$

If  $q_i < c^2 \leq q_{i+1} < 1$ , then

$$\text{Ind}_T(M) = n + 2 + \sum_{l=3}^i m_{\lambda_l^{\Delta_1}} = n - m + 1 + C_{m+i-1}^{i-1} + C_{m+i-2}^{i-2}.$$

Moreover,  $\{q_i\} \nearrow 1$  and  $\text{Ind}_T(M) \nearrow \infty$  as  $i \nearrow \infty$ .



### 3. Proofs of theorems

In this section, we will prove our theorems.

*Proof of theorem 1.2.* If  $M$  is a totally umbilical hypersurface, then  $\text{Ind}_T(M) = 0$ . Hence, we can assume that  $M$  is not totally umbilical.

For a fixed vector  $v \in R^{n+2}$ , gradients of the functions  $l_v = \langle \phi, v \rangle$  and  $f_v = \langle \nu, v \rangle$  are given by

$$\nabla l_v = v^T, \quad \nabla f_v = -A(v^T), \quad (3.1)$$

where  $v^T$  denotes the tangent component of  $v$  along the immersion  $\phi$ . By a direct calculation, we have

$$\square l_v = (n^2 H^2 - |A|^2) f_v + n(1-n) H l_v = 2S_2 f_v - (n-1) S_1 l_v, \quad (3.2)$$

$$\square f_v = (f_3 - nH|A|^2) f_v + (n^2 H^2 - |A|^2) l_v = (3S_3 - S_1 S_2) f_v + 2S_2 l_v. \quad (3.3)$$

Hence, we derive

$$J_s l_v = (n^2 H^2 - |A|^2) f_v + (nH|A|^2 - f_3) l_v = 2S_2 f_v + (S_1 S_2 - 3S_3) l_v, \quad (3.4)$$

$$J_s f_v = n(n-1) H f_v + (n^2 H^2 - |A|^2) l_v = (n-1) S_1 f_v + 2S_2 l_v. \quad (3.5)$$

We consider a function  $f_v + \alpha l_v$ , where  $\alpha \in R$  is a real number. Since

$$J_s(f_v + \alpha l_v) = [(n-1)S_1 + 2\alpha S_2] f_v + [2S_2 + \alpha(S_1 S_2 - 3S_3)] l_v \quad (3.6)$$

and  $S_1, S_2$  and  $S_3$  are constant, we can derive that functions  $f_v + \alpha l_v$  are eigenfunctions of  $J_s$  if  $\alpha$  is a solution of the following quadratic equation:

$$2S_2 \alpha^2 + [(n-1)S_1 - S_1 S_2 + 3S_3] \alpha - 2S_2 = 0 \quad (3.7)$$

and  $-(n-1)S_1 - 2\alpha S_2$  is an eigenvalue of  $J_s$ .

Since the equation (3.7) has two different real roots,

$$\alpha_{\pm} = \frac{S_1 S_2 - (n-1)S_1 - 3S_3 \mp \sqrt{D}}{4S_2},$$

where  $D = [(n-1)S_1 - S_1 S_2 + 3S_3]^2 + 16S_2^2 > 0$ , the corresponding eigenvalues  $\lambda$  of  $J_s$  are given by

$$\lambda_{\pm} = -(n-1)S_1 - 2\alpha_{\pm} S_2 = \frac{-S_1 S_2 - (n-1)S_1 + 3S_3 \pm \sqrt{D}}{2}. \quad (3.8)$$

According to  $H_2 = r-1 > 0$  and the Gauss equation, we can choose the orientation such that  $H = H_1 > 0$ . Then we have the following inequalities [7]:

$$H_1^2 \geq H_2, \quad H_1 H_2 \geq H_3, \quad H_2^2 \geq H_1 H_3. \quad (3.9)$$

From (3.8) and (3.9) we infer that

$$\begin{aligned}
 \lambda_- &= \frac{-S_1 S_2 - (n-1)S_1 + 3S_3 - \sqrt{D}}{2} \\
 &< \lambda_+ \\
 &= \frac{-S_1 S_2 - (n-1)S_1 + 3S_3 + \sqrt{D}}{2} \\
 &< 0.
 \end{aligned} \tag{3.10}$$

In fact,

$$\begin{aligned}
 &-S_1 S_2 - (n-1)S_1 + 3S_3 \\
 &= -\frac{n^2(n-1)}{2}H_1 H_2 - n(n-1)H_1 + \frac{n(n-1)(n-2)}{2}H_3 \\
 &\leq -\frac{n^2(n-1)}{2}H_1 H_2 - n(n-1)H_1 + \frac{n(n-1)(n-2)}{2}H_1 H_2 \\
 &= -n(n-1)H_1 H_2 - n(n-1)H_1 \\
 &< 0
 \end{aligned}$$

and

$$\begin{aligned}
 &[-S_1 S_2 - (n-1)S_1 + 3S_3]^2 - D \\
 &= 4[-3(n-1)S_1 S_3 + (n-1)S_1^2 S_2 - 4S_2^2] \\
 &= -2n^2(n-1)^2(n-2)H_1 H_3 \\
 &\quad + 2n^3(n-1)^2 H_1^2 H_2 - 4n^2(n-1)^2 H_2^2 \\
 &\geq 2n^2(n-1)^2[-(n-2)H_2^2 + nH_2^2 - 2H_2^2] \\
 &= 0.
 \end{aligned}$$

Therefore,  $\lambda_-$  and  $\lambda_+$  are negative eigenvalues of  $J_s$ . Putting

$$U_{\pm} = \{f_v + \alpha_{\pm} l_v : v \in R^{n+2}\}, \tag{3.11}$$

we have  $J_s u + \lambda_{\pm} u = 0$  for any  $u \in U_{\pm}$ .

On the other hand, if  $u \in U_{\pm}$ , then

$$\square u + (S_1 S_2 - 3S_3)u + (n-1)S_1 u + \lambda_{\pm} u = 0. \tag{3.12}$$

Set  $\mu_{\pm} = (S_1 S_2 - 3S_3) + (n-1)S_1 + \lambda_{\pm}$ . Then

$$\mu_+ = -\lambda_- > -\lambda_+ = \mu_- > 0, \quad \int_M u \, dv = 0. \tag{3.13}$$

Hence, functions belonging to  $U_{\pm}$  are non-constant eigenfunctions of the  $\square$  and they satisfy the condition  $\int_M u = 0$ .

Hence,

$$\text{Ind}_T(M) \geq \dim(U_- \oplus U_+) = \dim U_- + \dim U_+, \tag{3.14}$$

since  $U_-$  and  $U_+$  are eigenspaces of  $\square$  associated to different eigenvalues. Define  $\varphi_{\pm} : R^{n+2} \rightarrow U_{\pm}$  by

$$\varphi_{\pm}(v) = f_v + \alpha_{\pm} l_v.$$

CLAIM 3.1.  $\ker \varphi_- \cap \ker \varphi_+ = \emptyset$ .

Assume that there exists a unit vector  $v \in \ker \varphi_- \cap \ker \varphi_+$ . Then we have

$$f_v + \alpha_+ l_v = 0 = f_v + \alpha_- l_v.$$

It follows that  $l_v = 0 = f_v$ . This means that  $M$  is a totally geodesic equator of  $S^{n+1}(1)$ , which is impossible. Thus,  $\ker \varphi_- \cap \ker \varphi_+ = \emptyset$ . Therefore,

$$\dim \ker \varphi_- + \dim \ker \varphi_+ = \dim(\ker \varphi_- \oplus \ker \varphi_+) \leq n + 2.$$

Because of  $\dim U_{\pm} = n + 2 - \dim \ker \varphi_{\pm}$ , we obtain

$$\begin{aligned} \text{Ind}_T(M) &\geq \dim U_- + \dim U_+ \\ &= 2(n + 2) - (\dim \ker \varphi_- + \dim \ker \varphi_+) \\ &\geq n + 2. \end{aligned} \tag{3.15}$$

If  $\text{Ind}_T(M) = n + 2$ , then we have  $\dim(\ker \varphi_- \oplus \ker \varphi_+) = n + 2$ , that is,  $R^{n+2} = \ker \varphi_- \oplus \ker \varphi_+$ . Then we have, for any point  $p \in M$ ,

$$T_p M = T_p M \cap R^{n+2} = (T_p M \cap \ker \varphi_-) \oplus (T_p M \cap \ker \varphi_+).$$

Let  $T_p M^{\pm} = T_p M \cap \ker \varphi_{\pm}$ . Assume that  $0 \neq v \in T_p M^-$ , then  $f_v + \alpha_- l_v = 0$  on  $M$ . It follows that  $\alpha_- \neq 0$ . Otherwise,  $f_v = 0$  and  $M$  is totally geodesic. This is impossible. Since  $f_v + \alpha_- l_v \equiv 0$ , we have  $\nabla(f_v + \alpha_- l_v) = -A(v^T) + \alpha_- v^T = 0$  on  $M$ . From  $v \in T_p M^-$ , we know that  $v^T(p) = v$  and  $A_p(v) = \alpha_- v$ , that is,  $T_p M^-$  is a subspace of  $T_p M$  with constant principal curvature  $\alpha_-$ . By the same assertion, we can show that  $T_p M^+$  is a subspace of  $T_p M$  with constant principal curvature  $\alpha_+$ . If  $T_{p_0} M^- = \emptyset$  at some point  $p_0$ , then we have  $T_{p_0} M = T_{p_0} M^+$ , that is,  $p_0$  is an umbilical point; it follows that  $|A|^2(p_0) - nH^2(p_0) = 0$ . Since  $H_1 = H$  and  $|A|^2 = n^2 H^2 - n(n-1)H_2$  are constants, we know that  $|A|^2 - nH^2 = 0$  on  $M$ , this means that  $M$  is totally umbilical. This is a contradiction. Therefore, we derive that  $M$  has two different constant principal curvatures. From Cartan theorem, we know that  $M$  is a Clifford hypersurface  $S^m(c) \times S^{n-m}(\sqrt{1-c^2})$  and

$$\begin{aligned} &\frac{nm + \sqrt{m[(2-n)m + (n-1)(n+2)]}}{(n-1)(n+2)} \\ &\leq c^2 \leq \frac{(nm + n - 2) + \sqrt{(n-m)(3n - 2m + nm - 2)}}{(n-1)(n+2)} \end{aligned}$$

since  $\text{Ind}_T(M) = n + 2$ . This completes the proof of theorem 1.2.  $\square$

*Proof of theorem 1.3.* Without loss of generality we will assume that  $M$  is not totally umbilical. For any fixed vector  $v$  in  $R^{n+2}$ ,  $v^T : M \rightarrow R^{n+2}$  defined by

$$v^T(x) = v - l_v(x)x - f_v(x)\nu(x) \quad \text{for all } x \in M$$

is a tangent vector field on  $M$  because  $\langle v^T(x), x \rangle = 0$  and  $\langle v^T(x), \nu(x) \rangle = 0$  for every point  $x \in M$ . By multiplying the equation  $l_v = \lambda f_v$  by an appropriated constant, we may assume that  $|v| = 1$ . We will also assume that  $l_v$  is not constant. Otherwise,  $M \subset S^n(c)$  for some  $c$ . According to the completeness of  $M$  we have  $M = S^n(c)$ , that is,  $M$  is totally umbilical.

Since  $l_v$  is not constant, then  $\lambda \neq 0$ . From [3], we know that principal curvatures of  $M$  along the integral curve of  $v^T$  are

$$\begin{aligned}\lambda_1(\beta_x(s)) &= -\frac{1}{\lambda}, \\ \lambda_i(\beta_x(s)) &= -\frac{1}{\lambda} + \frac{(1 + \lambda^2)(\lambda^{-1} + \lambda_i(x))}{\lambda(\lambda - \lambda_i(x)) \cos(ws) + (1 + \lambda\lambda_i(x))}, \quad 2 \leq i \leq n.\end{aligned}$$

For every  $x \in N = S^n(1) \cap M$  (see [3]), let

$$\begin{aligned}I_1(x) &= \{i \in \{2, \dots, n\} : \lambda_i(x) = -\lambda^{-1}\}, \\ I_2(x) &= \{i \in \{2, \dots, n\} : \lambda_i(x) = \lambda\}, \\ I_3(x) &= \{2, \dots, n\} \setminus (I_1(x) \cup I_2(x)).\end{aligned}$$

Letting us denote the number of elements in  $I_i(x)$  by  $n_i$ , for  $i = 1, 2, 3$ , then, we have  $n_1 + n_2 + n_3 = n - 1$ . If  $i \in I_1$  and  $j \in I_2$ , then  $\lambda_i(\beta_x(s)) = -\lambda^{-1}$  and  $\lambda_j(\beta_x(s)) = \lambda$ .

CLAIM 3.2.  $I_3(x) = \emptyset$ .

In fact, for every  $i \in I_3(x)$ ,  $a_i(x) = \lambda^{-1} + \lambda_i(x) \neq 0$  and  $b_i(x) = \lambda(\lambda - \lambda_i(x)) \neq 0$ . For each point  $x \in M$  and  $s \in (-\pi/2w, \pi/2w)$ , we infer that

$$\begin{aligned}S_n(\beta_x(s)) &= \prod_{i=1}^n \lambda_i(\beta_x(s)) \\ &= \left(-\frac{1}{\lambda}\right)^{n_1+1} (\lambda)^{n_2} \prod_{j=1}^{n_3} \left(-\frac{1}{\lambda} + \frac{(1 + \lambda^2)a_j(x)}{b_j(x) \cos(ws) + \lambda a_j(x)}\right) \\ &= \left(-\frac{1}{\lambda}\right)^{n-n_2} (\lambda)^{n_2} + \left(-\frac{1}{\lambda}\right)^{n_1+1} (\lambda)^{n_2} \sum_{k=1}^{n_3} \left(-\frac{1}{\lambda}\right)^{n_3-k} (1 + \lambda^2)^k \\ &\quad \times \left( \sum_{j_1 < \dots < j_k} \frac{a_{j_1}(x) \cdots a_{j_k}(x)}{(b_{j_1}(x) \cos(ws) + \lambda a_{j_1}(x)) \cdots (b_{j_k}(x) \cos(ws) + \lambda a_{j_k}(x))} \right),\end{aligned}\tag{3.16}$$

$$\begin{aligned}S_{n-1}(\beta_x(s)) &= \sum_{i=1}^n \lambda_1(\beta_x(s)) \cdots \widehat{\lambda_i(\beta_x(s))} \cdots \lambda_n(\beta_x(s)) \\ &= (n_1 + 1) \left(-\frac{1}{\lambda}\right)^{n_1} (\lambda)^{n_2} \prod_{j=1}^{n_3} \left(-\frac{1}{\lambda} + \frac{(1 + \lambda^2)a_j(x)}{b_j(x) \cos(ws) + \lambda a_j(x)}\right)\end{aligned}$$

$$\begin{aligned}
& + n_2 \left(-\frac{1}{\lambda}\right)^{n_1+1} (\lambda)^{n_2-1} \prod_{j=1}^{n_3} \left(-\frac{1}{\lambda} + \frac{(1+\lambda^2)a_j(x)}{b_j(x)\cos(ws) + \lambda a_j(x)}\right) \\
& + \sum_{i=1}^{n_3} \left(-\frac{1}{\lambda}\right)^{n_1+1} (\lambda)^{n_2} \prod_{j=1, j \neq i}^{n_3} \left(-\frac{1}{\lambda} + \frac{(1+\lambda^2)a_j(x)}{b_j(x)\cos(ws) + \lambda a_j(x)}\right) \\
& = (n_1+1) \left(-\frac{1}{\lambda}\right)^{n_1} (\lambda)^{n_2} \left(-\frac{1}{\lambda}\right)^{n_3} + n_2 \left(-\frac{1}{\lambda}\right)^{n_1+1} (\lambda)^{n_2-1} \left(-\frac{1}{\lambda}\right)^{n_3} \\
& + n_3 \left(-\frac{1}{\lambda}\right)^{n_1+1} (\lambda)^{n_2} \left(-\frac{1}{\lambda}\right)^{n_3-1} \\
& + \sum_{k=1}^{n_3} \left(-\frac{1}{\lambda}\right)^{n_1+n_3-k} (\lambda)^{n_2-1} \left\{ (n_1+1)\lambda - n_2 \frac{1}{\lambda} + (n_3-k)\lambda \right\} (1+\lambda^2)^k \\
& \times \left( \sum_{j_1 < \dots < j_k} \frac{a_{j_1}(x) \cdots a_{j_k}(x)}{(b_{j_1}(x)\cos(ws) + \lambda a_{j_1}(x)) \cdots (b_{j_k}(x)\cos(ws) + \lambda a_{j_k}(x))} \right), \tag{3.17}
\end{aligned}$$

where  $\hat{\cdot}$  means that this term is deleted.

For any point  $x \in M$ , we have  $S_n(x) = cS_{n-1}(x)$ . Since  $\beta_x(s) \in M$ , then  $S_n(\beta_x(s)) = cS_{n-1}(\beta_x(s))$ , it follows from (3.16) and (3.17) that

$$\begin{aligned}
& \left(-\frac{1}{\lambda}\right)^{n-n_2-1} (\lambda)^{n_2-1} \left\{ -1 + \frac{n_2}{\lambda}c - (n_1+1)\lambda c - n_3\lambda c \right\} \\
& + \sum_{k=1}^{n_3} \left(-\frac{1}{\lambda}\right)^{n_1+n_3-k} (\lambda)^{n_2-1} (1+\lambda^2)^k \left\{ -1 + \frac{n_2}{\lambda}c - (n_1+1)\lambda c - (n_3-k)\lambda c \right\} \\
& \times \left( \sum_{j_1 < \dots < j_k} \frac{a_{j_1}(x) \cdots a_{j_k}(x)}{(b_{j_1}(x)\cos(ws) + \lambda a_{j_1}(x)) \cdots (b_{j_k}(x)\cos(ws) + \lambda a_{j_k}(x))} \right) = 0,
\end{aligned}$$

which means that, for every  $s \in (-\pi/2w, \pi/2w)$ ,  $\cos(ws)$  is a root of the following polynomial equation on  $X$ ,

$$\begin{aligned}
& \left(-\frac{1}{\lambda}\right)^{n-n_2-1} (\lambda)^{n_2-1} \left\{ -1 + \frac{n_2}{\lambda}c - (n_1+1)\lambda c - n_3\lambda c \right\} \\
& + \sum_{k=1}^{n_3} \left(-\frac{1}{\lambda}\right)^{n_1+n_3-k} (\lambda)^{n_2-1} (1+\lambda^2)^k \\
& \times \left\{ -1 + \frac{n_2}{\lambda}c - (n_1+1)\lambda c - (n_3-k)\lambda c \right\} \\
& \times \left( \sum_{j_1 < \dots < j_k} \frac{a_{j_1}(x) \cdots a_{j_k}(x)}{(b_{j_1}(x)X + \lambda a_{j_1}(x)) \cdots (b_{j_k}(x)X + \lambda a_{j_k}(x))} \right) = 0. \tag{3.18}
\end{aligned}$$

We know that the polynomial equation should have finite roots, but equation (3.18) has infinite roots. So we can deduce that the coefficients of  $X^q$  in equation (3.18)

are zero for any integer  $q \in \{0, 1, 2, \dots\}$ . Hence, we obtain that the coefficients of  $X^{n_3}$  are zero, that is,

$$\left(-\frac{1}{\lambda}\right)^{n-n_2-1} (\lambda)^{n_2-1} \left\{ -1 + \frac{n_2}{\lambda}c - (n_1+1)\lambda c - n_3\lambda c \right\} = 0. \quad (3.19)$$

From (3.19), we have

$$c = \frac{-1}{(n_1+1)\lambda - n_2\lambda^{-1} + n_3\lambda} = \frac{-\lambda}{(n-n_2)\lambda^2 - n_2},$$

so

$$-1 + \frac{n_2}{\lambda}c - (n_1+1)\lambda c = \frac{-n_3\lambda^2}{(n-n_2)\lambda^2 - n_2} \neq 0.$$

Substituting  $c$  into (3.18) and noting that the constant term of equation (3.18) equals zero, we obtain

$$\begin{aligned} & \sum_{k=1}^{n_3} \left(-\frac{1}{\lambda}\right)^{n_1} (\lambda)^{n_2-1} (-1)^{n_3-k} C_{n_3}^k (1+\lambda^2)^k \\ & \quad \times \left\{ -1 + \frac{n_2}{\lambda}c - (n_1+1)\lambda c - (n_3-k)\lambda c \right\} \prod_{i=1}^{n_3} a_i(x) \\ & = (-1)^{n_1+1} \frac{n_3\lambda^{2n_3+n_2-n_1-1}(\lambda^2+1)}{(n-n_2)\lambda^2 - n_2} \prod_{i=1}^{n_3} a_i(x) \\ & = 0, \end{aligned}$$

then we have

$$\prod_{i=1}^{n_3} a_i(x) = 0.$$

This is a contradiction with  $a_i(x) \neq 0$ . Hence,  $I_3(x) = \emptyset$  for every  $x \in N$ . Thus, we derive that all the principal curvatures of  $M$  at the points of  $N$  are constant and that they are equal to either  $-\lambda^{-1}$  or  $\lambda$ . Using the same arguments as in [3] we can conclude that  $M$  is either a totally umbilical sphere or a Clifford hypersurface. This completes the proof of theorem 1.3.  $\square$

*Proof of theorem 1.4.* Without loss of generality, we will assume that  $M$  is not totally umbilical. For any fixed vector  $v$  in  $R^{n+2}$ , we know that  $v^T : M \rightarrow R^{n+2}$  is a tangent vector field on  $M$ . By making use of the same notation as in the proof of theorem 1.3, we may assume that  $|v| = 1$  and  $l_v$  is not constant. Since  $l_v$  is not constant, then  $\lambda \neq 0$ . From [3], we know that principal curvatures of  $M$  along the integral curve of  $v^T$  are

$$\begin{aligned} \lambda_1(\beta_x(s)) &= -\frac{1}{\lambda}, \\ \lambda_i(\beta_x(s)) &= -\frac{1}{\lambda} + \frac{(1+\lambda^2)(\lambda^{-1} + \lambda_i(x))}{\lambda(\lambda - \lambda_i(x)) \cos(ws) + (1 + \lambda\lambda_i(x))}, \quad 2 \leq i \leq n. \end{aligned}$$

For each  $x \in N$ , by making use of the same notation as in the proof of theorem 1.3, we have the following claim.

CLAIM 3.3.  $I_3(x) = \emptyset$ .

In fact, for every  $i \in I_3(x)$ ,  $a_i(x) = \lambda^{-1} + \lambda_i(x) \neq 0$  and  $b_i(x) = \lambda(\lambda - \lambda_i(x)) \neq 0$ . For each  $s \in (-\pi/2w, \pi/2w)$  we obtain

$$\begin{aligned}
& 2S_2(\beta_x(s)) \\
&= \sum_{i \neq j} \lambda_i(\beta_x(s)) \lambda_j(\beta_x(s)) \\
&= \left( -\frac{1}{\lambda} \right) \left( -\frac{n_1}{\lambda} + n_2\lambda + \sum_{i=1}^{n_3} \left( -\frac{1}{\lambda} + \frac{(1+\lambda^2)a_i(x)}{b_i(x)\cos(ws) + \lambda a_i(x)} \right) \right) \\
&\quad - \frac{n_1}{\lambda} \left( -\frac{n_1}{\lambda} + n_2\lambda + \sum_{i=1}^{n_3} \left( -\frac{1}{\lambda} + \frac{(1+\lambda^2)a_i(x)}{b_i(x)\cos(ws) + \lambda a_i(x)} \right) \right) \\
&\quad + n_2\lambda \left( -\frac{n_1+1}{\lambda} + (n_2-1)\lambda + \sum_{i=1}^{n_3} \left( -\frac{1}{\lambda} + \frac{(1+\lambda^2)a_i(x)}{b_i(x)\cos(ws) + \lambda a_i(x)} \right) \right) \\
&\quad + \sum_{j=1}^{n_3} \left( -\frac{1}{\lambda} + \frac{(1+\lambda^2)a_j(x)}{b_j(x)\cos(ws) + \lambda a_j(x)} \right) \\
&\quad \times \left( -\frac{n_1+1}{\lambda} + n_2\lambda + \sum_{i=1, i \neq j}^{n_3} \left( -\frac{1}{\lambda} + \frac{(1+\lambda^2)a_i(x)}{b_i(x)\cos(ws) + \lambda a_i(x)} \right) \right) \\
&= \frac{1}{\lambda^2} (n_1 + n_3)(n_1 + n_3 + 1) - 2n_2(n_1 + n_3 + 1) + n_2(n_2 - 1)\lambda^2 \\
&\quad + 2(1 + \lambda^2) \left( -\frac{n_1 + n_3}{\lambda} + n_2\lambda \right) \left( \sum_{k=1}^{n_3} \frac{a_k(x)}{b_k(x)\cos(ws) + \lambda a_k(x)} \right) \\
&\quad + \sum_{i \neq j} (1 + \lambda^2)^2 \frac{a_i(x)}{b_i(x)\cos(ws) + \lambda a_i(x)} \times \frac{a_j(x)}{b_j(x)\cos(ws) + \lambda a_j(x)}.
\end{aligned}$$

This means that, for every  $s \in (-\pi/2w, \pi/2w)$ ,  $\cos(ws)$  is a root of the following polynomial equation on  $X$ :

$$\begin{aligned}
& \left\{ 2S_2 - \frac{1}{\lambda^2} (n_1 + n_3)(n_1 + n_3 + 1) + 2n_2(n_1 + n_3 + 1) - n_2(n_2 - 1)\lambda^2 \right\} \\
& \times \prod_{i=1}^{n_3} (b_i(x)X + \lambda a_i(x)) \\
&= 2(1 + \lambda^2) \left( -\frac{n_1 + n_3}{\lambda} + n_2\lambda \right) \left( \sum_{k=1}^{n_3} \left( a_k(x) \times \prod_{i=1, i \neq k}^{n_3} (b_i(x)X + \lambda a_i(x)) \right) \right) \\
& \quad + \sum_{i \neq j} (1 + \lambda^2)^2 a_i(x) a_j(x) \times \prod_{k=1, k \neq i, k \neq j}^{n_3} (b_k(x)X + \lambda a_k(x)).
\end{aligned}$$

Since the polynomial equation should only have finite roots, we derive that the coefficients of  $X^q$  are zero for any integer  $q \in \{0, 1, 2, \dots\}$ . It follows that

$$2S_2 = \frac{1}{\lambda^2}(n_1 + n_3)(n_1 + n_3 + 1) - 2n_2(n_1 + n_3 + 1) + n_2(n_2 - 1)\lambda^2$$

and

$$\begin{aligned} 2(1 + \lambda^2) \left( -\frac{n_1 + n_3}{\lambda} + n_2\lambda \right) n_3 \lambda^{n_3-1} \prod_{i=1}^{n_3} a_i \\ + (1 + \lambda^2)^2 n_3(n_3 - 1) \lambda^{n_3-2} \prod_{i=1}^{n_3} a_i = 0. \end{aligned} \quad (3.20)$$

Since

$$r \neq 2 \frac{(2k + m)n^2 - (2k^2 + 4k + 2km + m)n + 2k(m + k + 1)}{n(2k + m)(2(n - 1) - (2k + m))},$$

$$0 \leq m \leq n - 2, \quad 1 \leq k \leq n - 1 - m \quad \text{and} \quad 2S_2 = n(n - 1)(r - 1),$$

we obtain

$$\begin{aligned} 2S_2 &= \frac{1}{\lambda^2}(n_1 + n_3)(n_1 + n_3 + 1) - 2n_2(n_1 + n_3 + 1) + n_2(n_2 - 1)\lambda^2 \\ &= n(n - 1)(r - 1) \\ &\neq (n - 1) \frac{n(m^2 - 4k) + 4k(m + k + 1)}{(2k + m)(2(n - 1) - (2k + m))}. \end{aligned} \quad (3.21)$$

Letting  $m = n_3 - 1$  and  $k = n_1 + 1$ , we then have, from (3.21), that

$$2S_2 \neq (n - 1) \frac{n[(n_3 - 1)^2 - 4(n_1 + 1)] + 4(n_1 + 1)(n_1 + n_3 + 1)}{(2n_1 + n_3 + 1)[2(n - 1) - (2n_1 + n_3 + 1)]}. \quad (3.22)$$

By using (3.21) and (3.22), we infer that

$$\lambda^2 \neq \frac{2n_1 + n_3 + 1}{2n_2 + n_3 - 1}. \quad (3.23)$$

Hence, we can deduce from (3.20) and (3.23) that

$$\prod_{i=1}^{n_3} a_i = 0.$$

This is in contradiction with  $a_i \neq 0$ . Therefore,  $I_3(x) = \emptyset$ . By using the same arguments as in the proof of theorem 1.3, we conclude that  $M$  is either a totally umbilical sphere or a Clifford hypersurface. This completes the proof of theorem 1.4.  $\square$

*Proof of corollary 1.5.* If  $M$  is neither a totally umbilical sphere nor a Clifford hypersurface, we obtain from theorem 1.4 that  $f_v + \alpha_{\pm} l_v \neq 0$  for any fixed vector  $v \in R^{n+2}$ . Then  $\dim U_+ = \dim U_- = n + 2$ . It follows from equation (3.14) that  $\text{Ind}_T(M) \geq 2n + 4$ .  $\square$



*Proof of theorem 1.6.* We can prove theorem 1.6 using similar arguments to those used in the proof of theorem 1.4.  $\square$

### Acknowledgements

The authors express their gratitude to the anonymous referee for his/her valuable comments and suggestions. In addition, Q.M.C. and G.W. were supported by the Japan Society for Promotion of Science. H.L. was partly supported by grant no. 10971110 of NSFC and by the Doctoral Program Foundation of the Ministry of Education of China (2006).

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(Issued 11 June 2010)