

Microscopic description of inclusive neutrino-nucleus reactions

Multi-dimensional Modeling and Multi-Messenger observation from
Core-Collapse Supernovae (4M-COCOS)
Fukuoka University, Fukuoka, Japan
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Contents

- A microscopic description of **electro-weak** nuclear responses for light nuclei
 - *Ab initio* few-body calculations: Correlated Gaussian method
 - Application to nuclear photoabsorption reaction
 - Application to neutrino-nucleus reactions
- Progress report

Toward unified description of neutrino-nucleus reactions for a wide range of mass number and energy and momentum transfer

Electroweak nuclear reactions

- Nuclear reaction involving light elements
 - Fuel of a star
 - Origin of heavier elements
- Electromagnetic response (photon)
 - Radiative capture $X(a,\gamma)Y$
 - $\Leftrightarrow$ Photoabsorption(dissociation) reaction $X(\gamma,b)Y$
 - Electron scattering $X(e,e')Y$
- “Weak” response (weak bosons)
 - Beta decay $X \rightarrow Y + e + \nu$, Electron capture $X + e \rightarrow Y + \nu$
 - Neutrino-nucleus reaction $X(\nu,\nu')Y$, $X(\nu, e\nu')Y$

Electroweak excitations of light nuclei

- Photoabsorption reaction of ^4He

- Electric dipole excitation

- Recent measurements

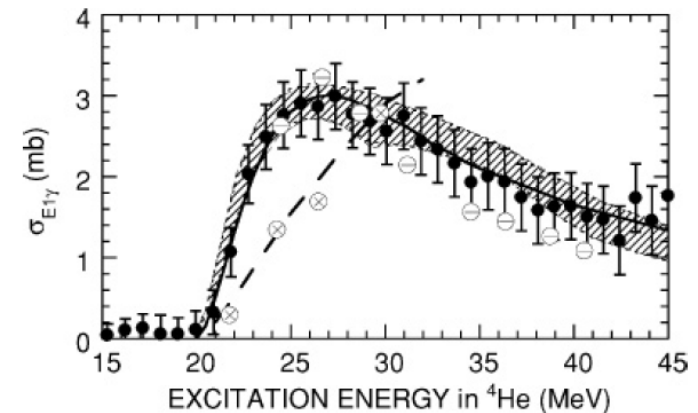
- Peak $\sim 27\text{MeV}$

- S. Nakayama et al., PRC 76, 021305 (2007).

- Peak $\sim 30\text{ MeV}$

- T. Shima et al., PRC 72, 044004 (2005).

Taken from S. Nakayama et al.
PRC 76, 021305 (2007).



- Excitation of light nuclei induced by the weak interaction

- Neutrino-nucleus reaction (Gamow-Teller, Spin-dipole, etc.)

- important for the supernova explosion scenario

- neutrino heating, shock-wave revival, neutrino oscillations, etc.

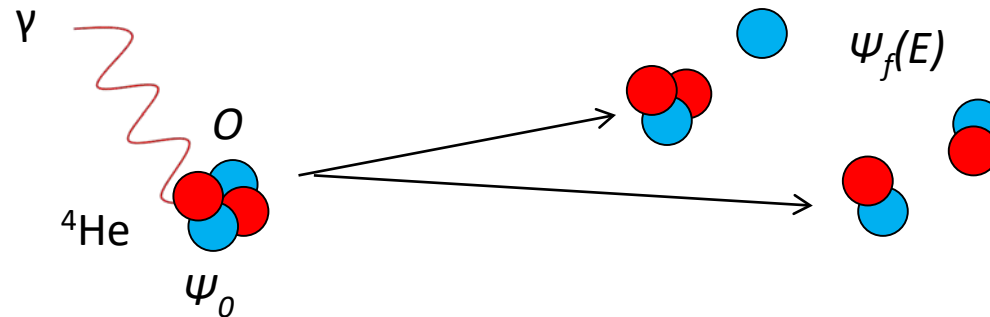
Neutrino-nucleus cross section is too small to measure

Reliable theoretical model is desired

Electroweak response functions

- Response (strength) function
 - Resonant and continuum structure
 - The ground state properties and interactions

$$S(E) = \mathcal{S}_{f\mu} | \langle \Psi_f | \mathcal{O}_{\lambda\mu} | \Psi_0 \rangle |^2 \delta(E_f - E_0 - E)$$



- Evaluate $S(E)$ with *ab initio* theoretical model
 - Nucleon (proton and neutron) degrees of freedom
 - Realistic nuclear force (NN scattering, ^2H properties)
 - No specific model assumption

Hamiltonian and nuclear forces

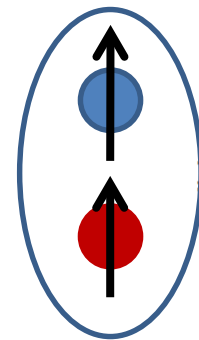
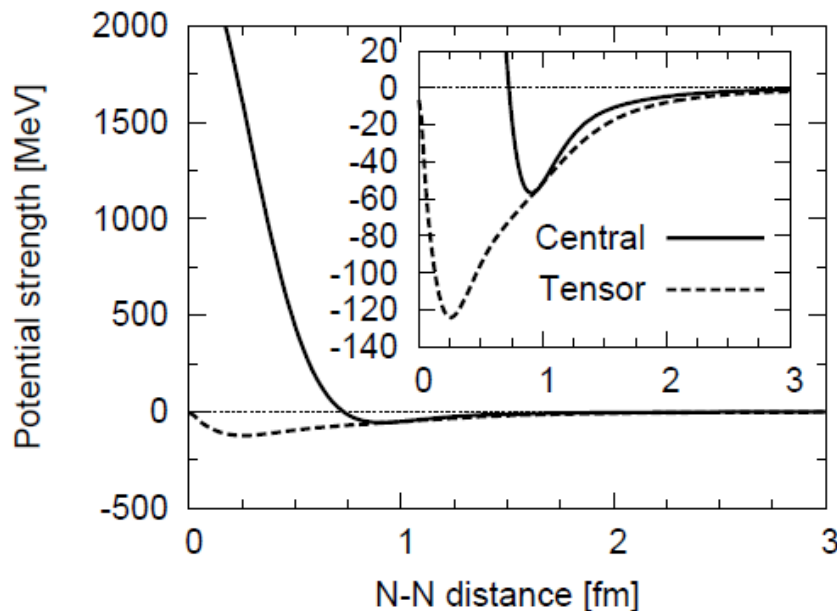
Hamiltonian

$$H = \sum_{i=1}^A T_i - T_{\text{cm}} + \sum_{i<j}^A v_{ij} + \sum_{i<j<k}^A v_{ijk}$$

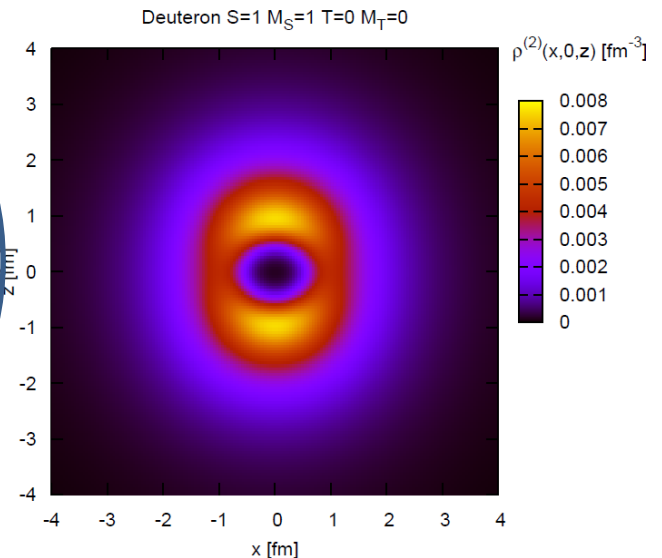
$$v_{12} = V_c(r) + V_{\text{Coul.}}(r)P_{1\pi}P_{2\pi} + V_t(r)S_{12} + V_b(r)\mathbf{L} \cdot \mathbf{S}$$

- Argonne v8 type interactions (AV8', G3RS); “bare” interaction
central, tensor, spin-orbit
- Three-nucleon force (3NF)
→ reproduce binding energies of ^3H , ^4He

E. Hiyama et al. PRC70, 031001(R) (2002)



Deuteron (pn) density



Variational calculation for many-body system

- Many-body wave function ψ has all information of the system
- Solve many-body Schrödinger equation
 $\Leftrightarrow$ Eigenvalue problem with Hamiltonian matrix
$$H\psi = E\psi$$
- Variational principle $\langle \psi | H | \psi \rangle = E \geq E_0$ (“Exact” energy)
(Equal holds if ψ is the “exact” solution)

- Expand the wave function in the explicitly correlated Gaussian functions

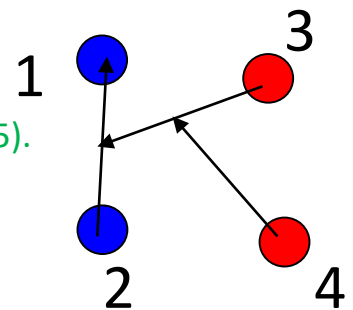
$$\psi = \sum_k c_k \exp\{-\sum_{i,j} \beta_{ij}^k (r_i - r_j)^2\}$$

- Parameter β_{ij} explicitly describe correlations among particles
- Optimal parameters are selected stochastically

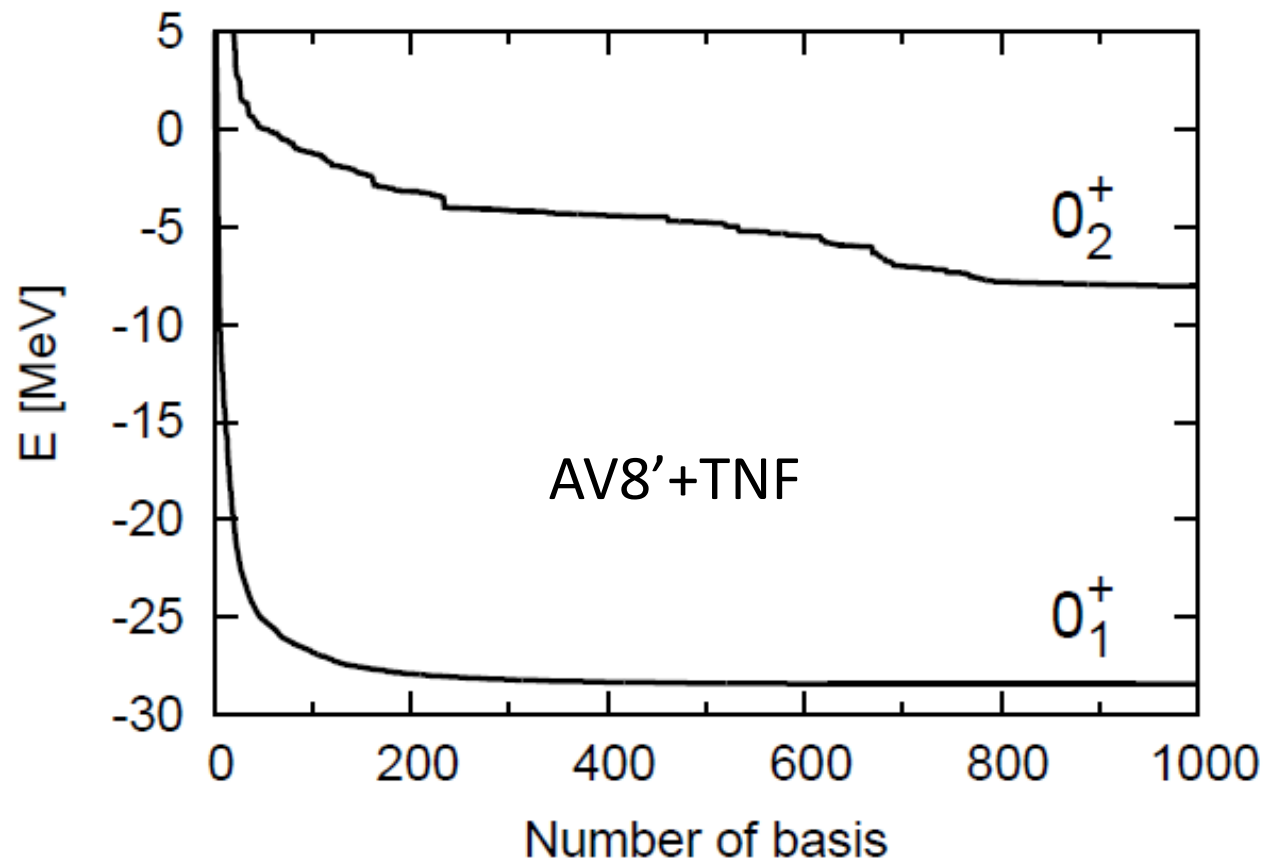
Stochastic Variational Method K. Varga and Y. Suzuki, PRC52, 2885 (1995).

1. Randomly generate candidates
2. Calculate energy for each candidate
3. Select the basis which gives the lowest energy among them
4. Increase the basis size
5. Return to 1. and repeat the procedure until energy is converged

→ accurate solution can be obtained with a small basis size



Energy convergence of ${}^4\text{He}$



Ground state energy agrees with the other accurate methods (FY, GFMC, NCSM,...) within 60 keV

H. Kamada et al., PRC64, 044001 (2001)

Electro-weak operators

(Long-wave-length approximation)

- Photoabsorption reaction (operator: r)

$$\sigma_{\gamma}(E_{\gamma}) = \frac{4\pi^2}{\hbar c} E_{\gamma} \frac{1}{3} S(E_{\gamma}) \quad \text{Electric dipole strength function}$$

- Neutrino-nucleus reaction

– Charged current ${}^4\text{He} \rightarrow {}^4\text{Li}$ or ${}^4\text{H}$

Isovector transition, $(IV\pm; \tau_{\pm})$

- Gamow-Teller $\sigma\tau_{\pm}$

- Spin-dipole $[\mathbf{r} \times \boldsymbol{\sigma}]_{\lambda\mu} \tau_{\pm}$

- $(\nabla \cdot \boldsymbol{\sigma}) \tau_{\pm}$

– Neutral current ${}^4\text{He} \rightarrow {}^4\text{He}^*$

- Isovector $(IV0; \tau_0)$ and Isoscalar (IS) transition

Total photoabsorption cross section

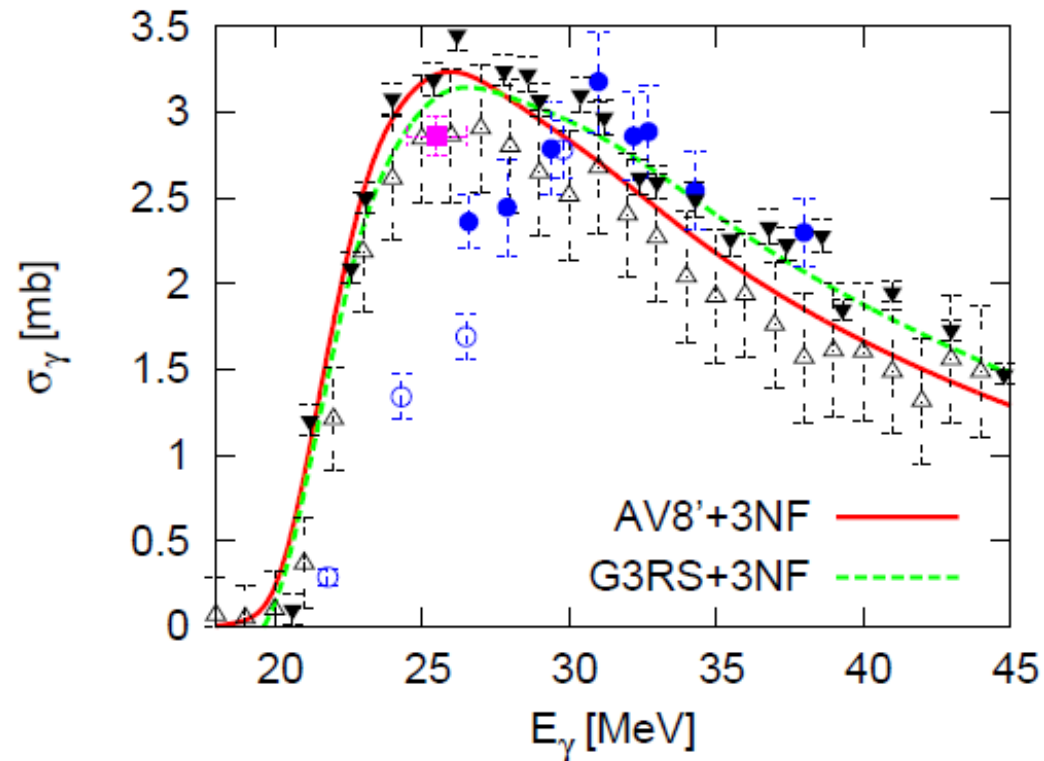
Photoabsorption cross section

$$\sigma_{\gamma}(E_{\gamma}) = \frac{4\pi^2}{\hbar c} E_{\gamma} \frac{1}{3} S(E_{\gamma})$$

Interaction: AV8'+3NF, G3RS+3NF
3NF: E. Hiyama et al., PRC70, 031001(2004).

The continuum $J^{\pi}T=1^{-}1$ state is expanded in several thousand of basis states including explicit decay to two and three-body channels.

Comparison with the measurements
→ **good agreement above 30 MeV**
Disagree at the low energy with the data by Shima et al.

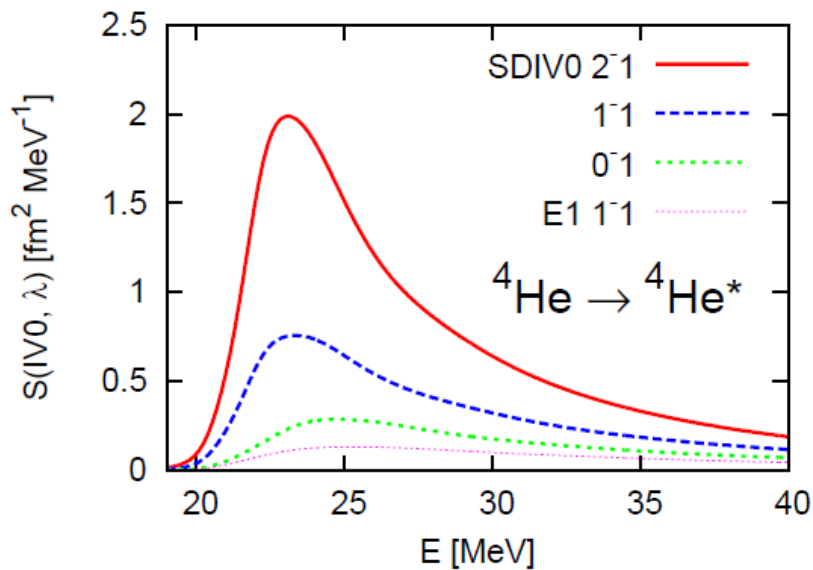


- $\triangle$ S. Nakayama et al., (2007)
- $\blacksquare$ D.P. Wells et al. (1992)
- $\blacktriangledown$ Y. M. Arkatov et al., (1974).
- $\circ$ T. Shima et al., (2005).
- $\bullet$ T. Shima et al., new measurement

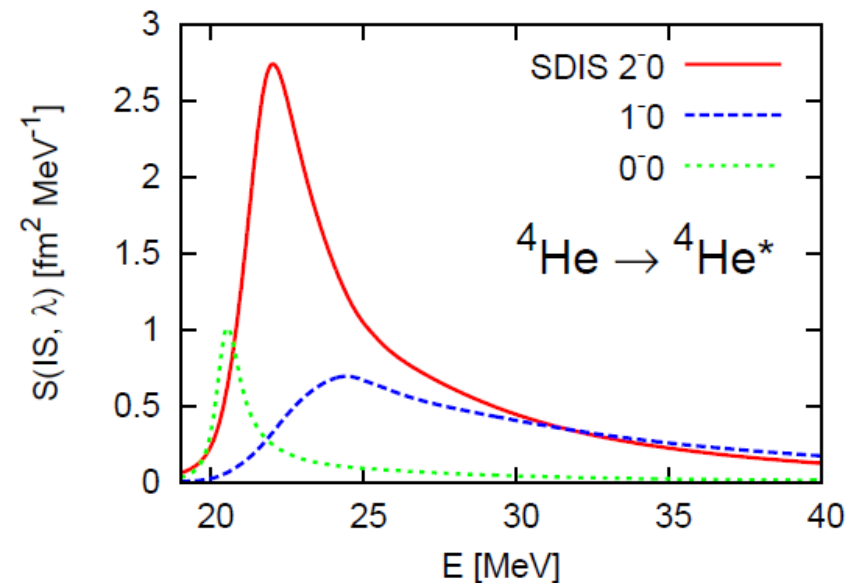
Spin-dipole strength function

Spin-dipole operator
$$\mathcal{O}_{\lambda\mu}^P = \sum_{i=1}^4 [(r_i - x_4) \times \sigma_i]_{\lambda\mu} \tau_{3i}^P$$

Isovector (IV0)



Isoscalar (IS)



Sum rule $\sim 100\%$

IV0	
$m_0(p, \lambda)$	SR
4.59	4.59
9.35	9.36
18.36	18.38

- Relatively small decay widths of 0^-0 , 2^-0 (0.84, 2.01 MeV)
- Resonant structure $\Leftrightarrow$ Strength function

Neutrino-nucleus cross sections

Neutrino-nucleus reaction (Gamow-Teller, Dipole, Spin-dipole, etc.)

→ important for the supernova explosion scenario

Neutrino-nucleus cross section is too small to measure

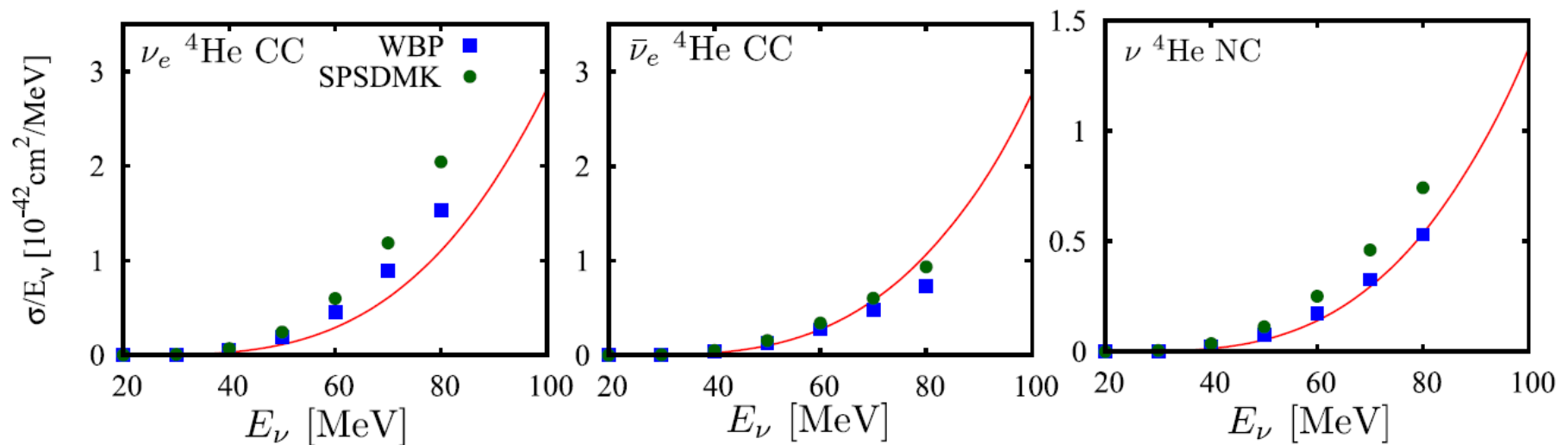
Reliable theoretical evaluation is desired

Inclusive neutrino- ^4He reactions

$$\nu_e + {}^4\text{He} \rightarrow e^- + 3p + n, e^- + 2p + d, e^- + p + {}^3\text{He}$$

$$\bar{\nu}_e + {}^4\text{He} \rightarrow e^+ + p + 3n, e^+ + 2n + d, e^+ + n + {}^3\text{H}$$

$$\nu + {}^4\text{He} \rightarrow \nu + 2p + 2n, \nu + p + n + d, \nu + n + {}^3\text{He}, \\ \nu + p + {}^3\text{H}, \nu + 2d$$



S.X. Nakamura et al., Rep. Prog. Phys. 80, 056301 (2017)

Shell model cal. taken from T. Yoshida et al. Astro. J 686, 448 (2008)

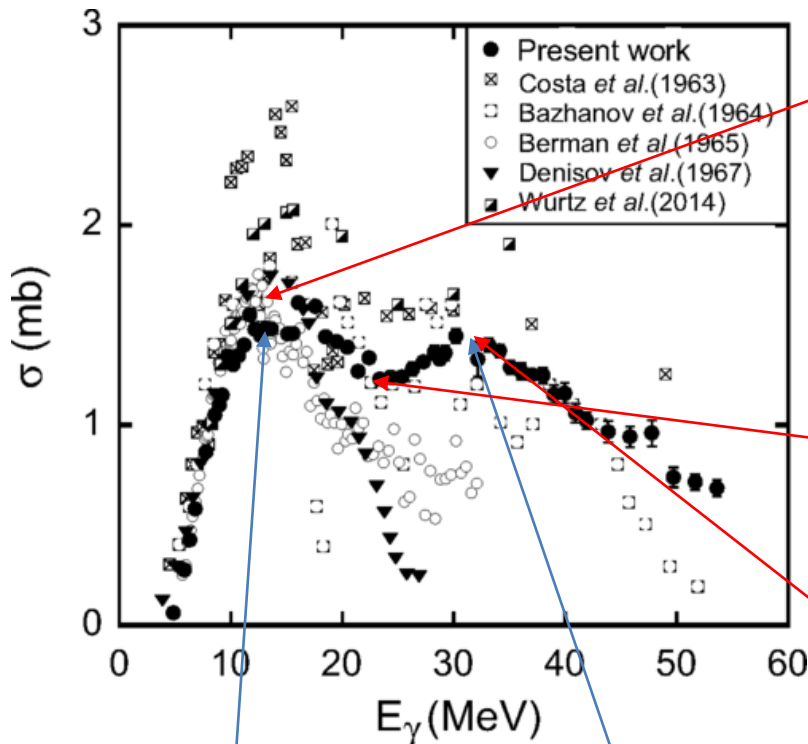
Summary and outlook

- Four-body calculations for electroweak strength functions of ^4He
 - Explicitly correlated basis reinforced with complex scaling method
 - Based on the realistic nuclear force
 - No specific model assumption
 - Unified description of bound and unbound states
- Dipole type (E1, SD) electroweak strength functions
 - Non-energy-weighted sum rules are fully satisfied
 - Consistent agreement with experimental values
 - Photoabsorption reaction [WH, Y. Suzuki, K. Arai, Phys. Rev. C 85, 054002\(2012\)](#)
 - SD strength function and spectrum [WH, Y. Suzuki, Phys. Rev. C 87, 034001 \(2013\)](#)
 - Neutrino-nucleus reaction [S.X. Nakamura et al., Rep. Prog. Phys. 80, 056301 \(2017\)](#)
- Work in progress towards
Unified description of inclusive neutrino-nucleus reactions for a wide range of mass number, energy and momentum transfer

Progress report

Photoabsorption of ${}^6\text{Li}$

S. Satsuka and WH, Phys. Rev. C 100, 024334 (2019)
published on 29 August

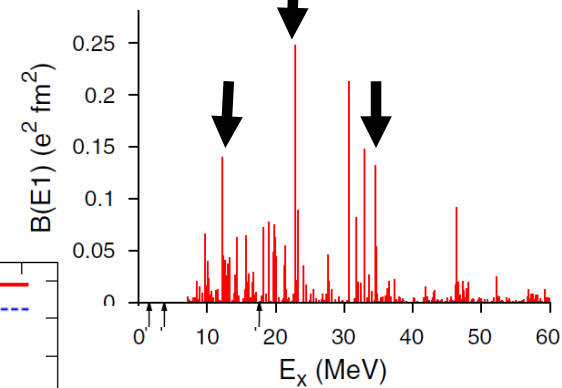
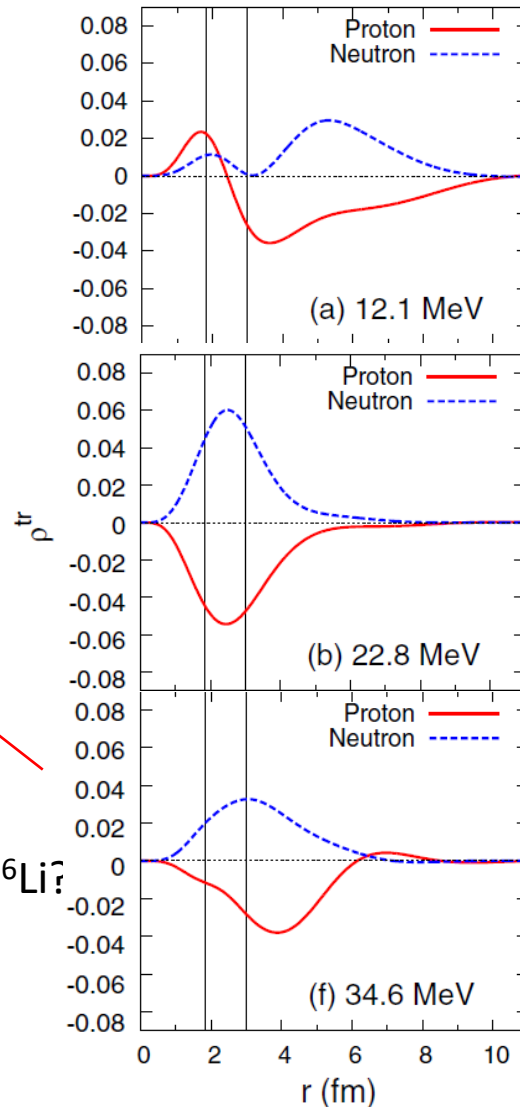


First peak: Giant dipole resonance (GDR) of ${}^6\text{Li}$?

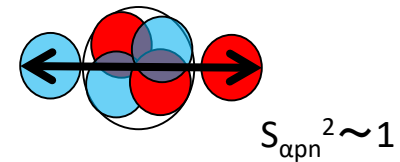
Second peak: GDR of alpha cluster in ${}^6\text{Li}$?

T. Yamagata, S. Nakayama, H. Akimune, S. Miyamoto,
Phys. Rev. C 95, 044307 (2017)

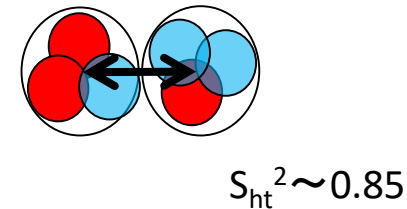
Note: Only a one-peak structure is found in S. Bacca
et al., PRL89, 052502 (2002)



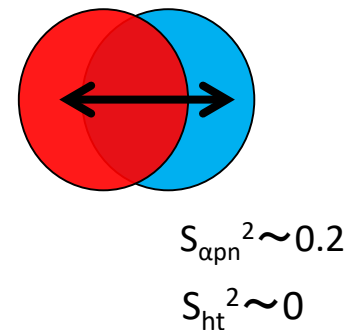
Soft GT excitation



Cluster (${}^3\text{He}+{}^3\text{H}$) exc.



Typical GT excitation



Extension to lepton-nucleus scattering

$^4\text{He} (e,e') ^4\text{He}^* (J^\pi T=0^+0, E_R=20.1 \pm 0.05 \text{ MeV}, \Gamma=270 \pm 50 \text{ keV})$

→ isoscalar monopole transition

$$\mathcal{M}(q) = \frac{1}{2} \sum_{i=1}^A j_0(qr_i) \quad q: \text{momentum transfer [fm}^{-1}\text{]}$$

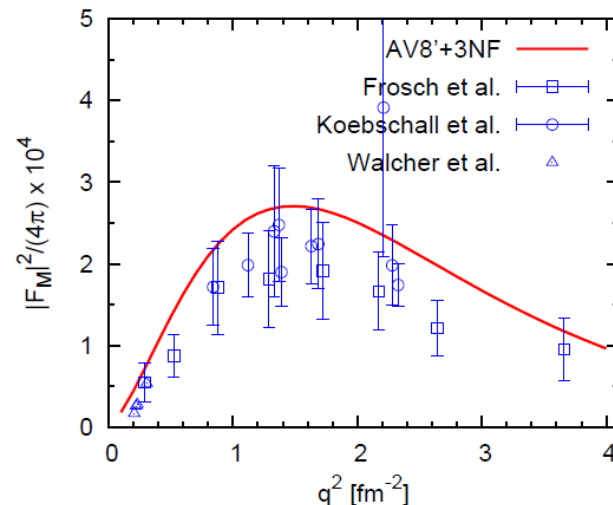
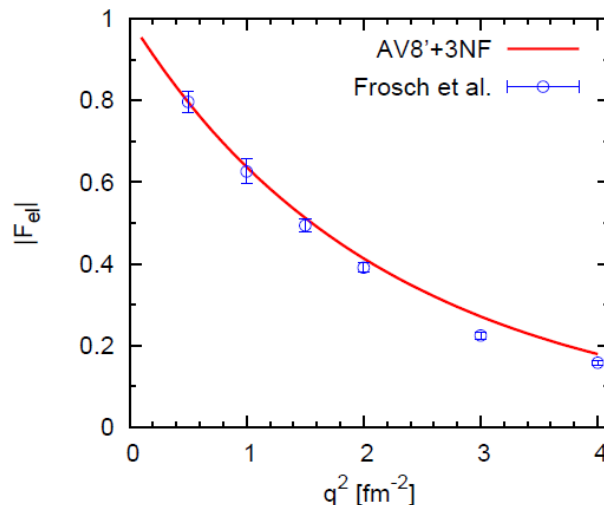
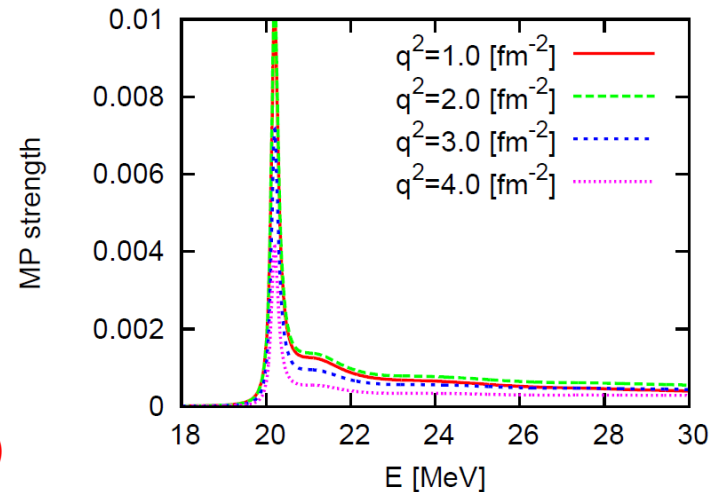
$$S(q, E) = S_{\text{res}}(q, E) + S_{\text{bg}}(q, E)$$

$$F_{\text{el}}(q) = \frac{G_E^p(q)}{Z} \langle \Psi_0 | \mathcal{M}(q) | \Psi_0 \rangle$$

$$|F_{\text{inel}}(q)|^2 = \left(\frac{G_E^p(q)}{Z} \right)^2 \int dE S_{\text{res}}(q, E)$$

Theory reproduces E. Hiyama et al. PRC70, 031001(R) (2002)

Isoscalar monopole strength functions



Description with high-q

Extension to various energy and momentum transfer regions desired

However, for describing high-momentum transfer reaction, high-angular momentum states contribute

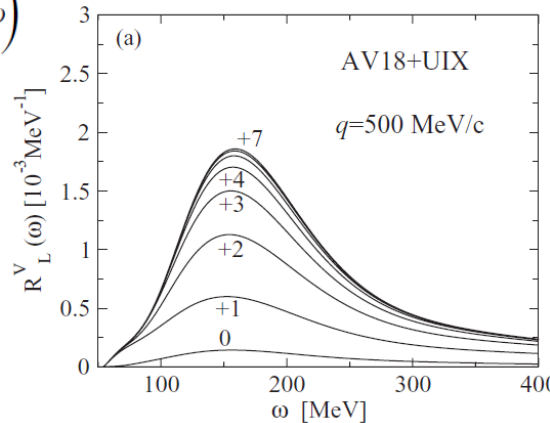
$$R_L(\omega, q) = \sum_f |\langle \Psi_f | \hat{\rho}(\mathbf{q}) | \Psi_0 \rangle|^2 \delta \left(E_f + \frac{q^2}{2M} - E_0 - \omega \right)$$

$$\hat{\rho}(\mathbf{q}) = \frac{e}{2} \sum_k \exp[i\mathbf{q} \cdot \mathbf{r}_k] + \frac{e}{2} \sum_k \tau_k^3 \exp[i\mathbf{q} \cdot \mathbf{r}_k]$$

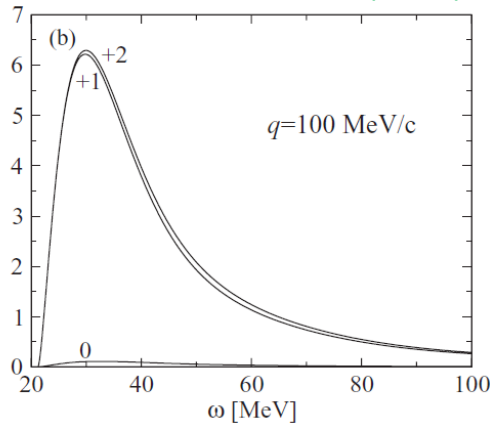
$$\equiv \hat{\rho}_S(\mathbf{q}) + \hat{\rho}_V(\mathbf{q}).$$

$$\hat{\rho}_X(\mathbf{q}) = 4\pi \sum_{Ju} \hat{C}_\mu^{J,X}(q) Y_\mu^J(\hat{q})^*$$

$$\hat{C}_\mu^{J,X}(q) \equiv \frac{1}{4\pi} \int d\hat{q}' \hat{\rho}_X(\mathbf{q}') Y_\mu^J(\hat{q}'),$$



S. Bacca+, PRC80, 064001 (2009)



- **Develop** an efficient method and **operators** for neutrino-nucleus reactions **without explicit angular momentum decomposition**

Space-grid representation of the correlated Gaussian basis

$$g(s; A, \mathbf{x}) = \exp\left(-\frac{1}{2} \tilde{\mathbf{x}} A \mathbf{x} + \tilde{\mathbf{s}} \mathbf{x}\right)$$

s is represented on a space-grid

“Standard” angular momentum projection

$$F_{(L_1 L_2)LM}(u_1, u_2, A, \mathbf{x}) = \frac{B_{L_1} B_{L_2}}{L_1! L_2!} \iint d\mathbf{e}_1 d\mathbf{e}_2 [Y_{L_1}(\mathbf{e}_1) Y_{L_2}(\mathbf{e}_2)]_{LM}$$

$$\times \frac{\partial^{L_1+L_2}}{\partial \lambda_1^{L_1} \partial \lambda_2^{L_2}} g(\lambda_1 \mathbf{e}_1 u_1 + \lambda_2 \mathbf{e}_2 u_2; A, \mathbf{x})|_{\lambda_1=\lambda_2=0},$$

- **Applications** to neutrino-nucleus reactions in wide momentum and energy transfers at quasi-elastic regions

Progress of our project in collaboration with T. Sato and K. Yoshida

- Develop electro-weak operators for neutrino-nucleus scattering (T. Sato)
 - Expression without angular momentum expansion for electron- and neutrino-nucleus reactions (**completed**)
 - Correct treatment of the electron Coulomb wave function (**completed**)
 - Behrens formula have been revised
- *Ab initio* calculations for light nuclei (WH)
 - Photoabsorption of ${}^6\text{Li}$ (**completed**) S. Satsuka and WH, Phys. Rev. C 100, 024334 (2019)
 - Formulation for new CG basis (**completed**)
 - Test calculation with electron-nucleus scattering (**in progress**)
 - Develop a code for neutrino-nucleus scattering (**in progress**)
- Weak transitions in medium- to heavy-mass nuclei with nuclear density functional theory (K. Yoshida)
 - Weak transitions for medium mass nuclei (**completed**)
K. Yoshida, Phys. Rev. C 100, 024316 (2019)
 - Beta decay with the exact electron wave function (**in progress**)
 - Develop a code for neutrino-nucleus scattering (**in progress**)

H. Behrens and W. Bühring, *Electron Radial Wave Functions and Nuclear Beta-Decay* (Clarendon, Oxford, 1982).

Correlated basis approach: **global vector representation**

Correlated Gaussian combined with two global vectors Y. Suzuki, [W.H.](#), M. Orabi, K. Arai, FBS42, 33-72 (2008)

$$\phi_{(L_1 L_2) L M_L}^{\pi}(A, u_1, u_2) = \exp(-\tilde{x} A x) [\mathcal{Y}_{L_1}(\tilde{u}_1 x) \mathcal{Y}_{L_2}(\tilde{u}_2 x)]_{L M_L}$$

x : any relative coordinates (cf. Jacobi)

$$\mathcal{Y}_{\ell}(r) = r^{\ell} Y_{\ell}(\hat{r})$$

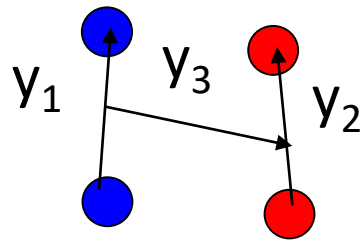
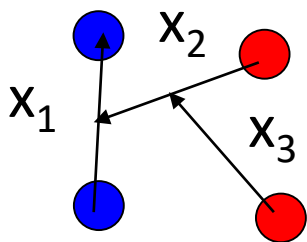
$$\tilde{x} A x = \sum_{i,j=1}^{N-1} A_{ij} x_i \cdot x_j$$

$$\tilde{u}_i x = \sum_{k=1}^{N-1} (u_i)_k x_k$$

“bare” interaction can be used

Some advantages

- Formulation for N particle system
- Analytical expression for matrix elements
- The functional form does not change under any coordinate transformations



$$y = T x \implies \tilde{y} B y = \tilde{x} \tilde{T} B T x$$

$$\tilde{v} y = \tilde{T} v x$$

Variational parameters $A, u \rightarrow$ Stochastic variational method

K. Varga and Y. Suzuki, PRC52, 2885 (1995).

Dipole type operators and spectrum

Electric dipole (E1) $J^\pi T=0^+0 \rightarrow 1^-1$

Photo nuclear reaction, radiative capture, etc

$$\mathcal{M}_{1\mu} = \sum_{i=1}^4 \frac{e}{2} (1 - \tau_{3i})(\mathbf{r}_i - \mathbf{x}_4)_\mu$$

Spin-dipole (SD) $J^\pi T=0^+0 \rightarrow \lambda^-0, \lambda^-1$

Beta decay, Neutrino nucleus reaction, etc.

$$\mathcal{O}_{\lambda\mu}^p = \sum_{i=1}^4 [(\mathbf{r}_i - \mathbf{x}_4) \times \boldsymbol{\sigma}_i]_{\lambda\mu} T_i^p$$

$$T_i^{\text{IS}} = 1, \quad T_i^{\text{IV}0} = \tau_z(i), \quad T_i^{\text{IV}\pm} = t_\pm(i)$$

- ^4He : seven negative parity states
 $J^\pi T= 0^-1, 1^-1, 2^-1, 0^-0, 1^-0, 2^-0$
- Degenerate levels only with central force

→ Non-central force is necessary

